

Motivic Homotopy Theory Notes

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These notes were taken from a [course](#) on Motivic Homotopy Theory taught by Dr. P. Du in Spring 2024 at BIMSA. Any mistakes and inaccuracies would be my own. References for this course include [\[BH21\]](#), [\[EH23\]](#), [\[Lur18\]](#), [\[Lur09\]](#), and others mentioned in the references.

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1 COMMUTATIVE MONOIDS AND COMMUTATIVE SEMIRINGS AS FUNCTORS

The materials from this section can be found in [EH23], Chapter 1.1-1.2.

1.1 SPANS AND MONOIDS

Definition 1.1. A commutative monoid $(M, \times, 1)$ has a multiplication operation

$$\begin{aligned} \times : M \times M &\rightarrow M \\ (a, b) &\mapsto a \times b =: ab \end{aligned}$$

that satisfies $ab = ba$, as well as the associativity by the pentagon axiom

$$\begin{array}{ccccc} & & (ab)(cd) & & \\ & \swarrow & & \searrow & \\ ((ab)c)d & & & & a(b(cd)) \\ & \searrow & & \swarrow & \\ & (a(bc))d & \text{-----} & a((bc)d) & \end{array}$$

Definition 1.2. Denote $\mathbb{F} = \mathbf{FinSet}$ to be the finite category of finite sets, then a commutative monoid M induces a contravariant functor

$$\begin{aligned} \bar{M} : \mathbb{F}^{\text{op}} &\rightarrow \mathbf{Set} \\ I &\mapsto M^I \\ (I \xleftarrow{f} S) &\mapsto (M^I \xrightarrow{f^*} M^S) \\ (a_i)_{i \in I} &\mapsto (a_{f(s)})_{s \in S} \end{aligned}$$

and similarly a covariant functor

$$\begin{aligned} \bar{M}' : \mathbb{F} &\rightarrow \mathbf{Set} \\ I &\mapsto M^I \\ (s \xrightarrow{g} I) &\mapsto (M^S \xrightarrow{g_{\otimes}} M^I) \\ (b_s)_{s \in S} &\mapsto \left(\prod_{s \in g^{-1}(j)} b_s \right)_{j \in I} \end{aligned}$$

Now given the construction in Definition 1.2 above, suppose we have a zigzag

$$\begin{array}{ccc} & S & \\ f \swarrow & & \searrow g \\ I & & J \end{array} \tag{1.3}$$

we can use \bar{M} and \bar{M}' and obtain f^* and g_{\otimes} . One can map Diagram 1.3 to a morphism $g_{\otimes} f^* : M^I \rightarrow M^J$.

Remark 1.4. To define a functor precisely, we need to specify what category Diagram 1.3 lies in. As we will see later, we want a category with the same objects as \mathbb{F} , and morphisms are the zigzags of the form Diagram 1.3, which are called spans (or correspondences).

To define the composition of spans as morphisms, we should think of a diagram

$$\begin{array}{ccccc}
 & S & & T & \\
 f \swarrow & & g \searrow & u \swarrow & v \searrow \\
 I & & J & & K
 \end{array} \tag{1.5}$$

The two zigzags give rise to $g_{\otimes} f^*$ and $v_{\otimes} u^*$. For compositions to be well-defined, we should map this diagram to $v_{\otimes} u^* g_{\otimes} f^*$. In order to obtain functoriality, we would hope

$$v_{\otimes} u^* g_{\otimes} f^* = v_{\otimes} g_{\otimes} u^* f^* = (vg)_{\otimes} (fu)^*$$

using some sort of base-change phenomenon. This is certainly not true. As a remedy, we complete [Diagram 1.5](#) to

$$\begin{array}{ccccc}
 & A & & & \\
 u' \swarrow & & g' \searrow & & \\
 S & & T & & \\
 f \swarrow & & g \searrow & u \swarrow & v \searrow \\
 I & & J & & K
 \end{array} \tag{1.6}$$

as we obtain $u^* g_{\otimes} : M^S \rightarrow M^T$ defined by the composition

$$(b_s)_{s \in S} \mapsto \left(\prod_{s \in g^{-1}(j)} b_s \right)_{j \in J} \mapsto \left(\prod_{s \in g^{-1}(u(t))} b_s \right)_{t \in T}.$$

Remark 1.7. If [Diagram 1.6](#) is a commutative diagram, then there is a restriction of u' given by $u' : g'^{-1}(t) \rightarrow g^{-1}(u(t))$. In particular, if [Diagram 1.6](#) is a pullback diagram, then this restriction map is a bijection. In this setting, the map $u^* g_{\otimes}$ sends $(b_s)_{s \in S}$ to

$$\left(\prod_{s \in g^{-1}(u(t))} b_s \right)_{t \in T} = \left(\prod_{a \in g'^{-1}(t)} b_{u'(a)} \right)_{t \in T} = g'_{\otimes} u'^*(b_s)_{s \in S}.$$

Therefore,

$$v_{\otimes} u^* g_{\otimes} f^* = v_{\otimes} g'_{\otimes} u'^* f^* = (vg')_{\otimes} (fu')^*.$$

Definition 1.8. We define $\mathbf{Span}(\mathbb{F})$ to be the category of span of \mathbb{F} , where objects are finite sets as in \mathbb{F} , and morphisms of the form $I \rightarrow J$ are the zigzag of the form $I \leftarrow S \rightarrow J$. The composition of morphisms $I \rightarrow J \rightarrow K$ on the zigzag is now defined by $I \leftarrow A \rightarrow K$ using the diagram

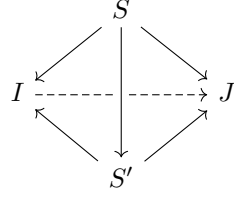
$$\begin{array}{ccccc}
 & A & & & \\
 \swarrow & & \searrow & & \\
 S & & T & & \\
 \swarrow & & \searrow & \swarrow & \searrow \\
 I & & J & & K
 \end{array}$$

(Note: In the original image, there is a dashed red arrow from I to K passing above the diagram, and dashed black arrows from I to J and J to K.)

whenever A is constructed as the pullback, otherwise known as the outer span $S \times_K T$.

Remark 1.9. One issue that persists from this construction is the fact that the pullback A is not unique, thus the composition of morphisms is not unique. (This may be unique up to unique isomorphism.) With this in mind, $\mathbf{Span}(\mathbb{F})$ admits a $(2, 1)$ -category structure instead of an ordinary category.

The 2-morphisms of $\mathbf{Span}(\mathbb{F})$ are defined by $S \rightarrow S'$ via



Moreover, these 2-morphisms are isomorphisms (of spans) and hence invertible, therefore admitting the $(2, 1)$ -category structure.

Remark 1.10. The functors we defined in Definition 1.2 can be extended to a functor

$$\tilde{M} : \mathbf{Span}(\mathbb{F}) \rightarrow \mathbf{Set}$$

such that $\tilde{M}|_{\mathbb{F}^{\text{op}}} \in \mathbf{Fun}^{\times}(\mathbb{F}^{\text{op}}, \mathbf{Set})$. To see this, recall that there is a natural inclusion

$$\begin{aligned} \mathbb{F}^{\text{op}} &\hookrightarrow \mathbf{Span}(\mathbb{F}) \\ A &\mapsto A \\ (I \leftarrow S) &\mapsto (I \leftarrow S \rightrightarrows S) \end{aligned}$$

then the extension \tilde{M} is the functor we want, as the product and coproduct of the 2-category $\mathbf{Span}(\mathbb{F})$ are both the coproduct on \mathbf{FinSet} , i.e., the disjoint union.

Remark 1.11. In fact, given any category \mathcal{C} with finite products, then there is an identification of commutative monoids on \mathcal{C} with product-preserving functors $\mathbf{Span}(\mathbb{F}) \rightarrow \mathcal{C}$. Moreover, this is true homotopically, c.f., [Cra09] and [Cra11].

This is the story of how we induce functors from commutative monoids, where the span exhibits a bivariant phenomenon. We will see below that there is a similar one for commutative semirings, which exhibits distributivity.

1.2 BISPANS AND SEMIRINGS

Definition 1.12. A commutative semiring $(R, +, \times, 0, 1)$ is a set R equipped with operations $+$ and \times as well as additive identity 0 and multiplicative identity 1 . However, we do not assume the existence of additive inverse and/or multiplicative inverse. Therefore, R is both an additive monoid and a multiplicative monoid.

Using the same construction in Definition 1.2, we have a functor

$$\begin{aligned} \mathbb{F} &\rightarrow \mathbf{Set} \\ I &\mapsto R^I \end{aligned}$$

which induces a functor

$$\begin{aligned} \tilde{R}_{\times} : \mathbf{Span}(\mathbb{F}) &\rightarrow \mathbf{Set} \\ (I \xleftarrow{f} S \xrightarrow{g} J) &\mapsto g_{\otimes} f^* \end{aligned}$$

Now note that we still have an additive monoidal structure on R , so we would hope to define a functor of the form

$$\begin{aligned} \tilde{R}_{+} : \mathbf{Span}(\mathbb{F}) &\rightarrow \mathbf{Set} \\ ? &\mapsto g_{\oplus} f^* \end{aligned}$$

for some unknown category “**Span**(\mathbb{F})”. These two functors altogether shall define a desired functor $\tilde{R} : \text{“Span”}(\mathbb{F}) \rightarrow \mathbf{Set}$. In particular, admitting two different structures here already tells us that the spans are no longer suitable, and a natural adaptation would be bispans.

Definition 1.13. A bispan (or a polynomial diagram) from I to J is given by a diagram

$$\begin{array}{ccc} & X & \xrightarrow{f} Y \\ & \swarrow p & \searrow q \\ I & & J \end{array}$$

The category of bispans, denoted **Bispan**(\mathbb{F}), has objects (again) the same with objects of \mathbb{F} , and morphisms are bispans.

Given a semiring R , we would want to construct a functor

$$\begin{aligned} \mathbf{Bispan}(R) &\rightarrow \mathbf{Set} \\ I &\mapsto R^I \\ (I \xleftarrow{p} X \xrightarrow{f} Y \xrightarrow{q} J) &\mapsto q_{\oplus} f_{\otimes} p^* \end{aligned}$$

where

$$\begin{aligned} p^* : R^I &\rightarrow R^X \\ p^*(\varphi)(x) &= \varphi(px), \end{aligned}$$

$$\begin{aligned} f_{\otimes} : R^X &\rightarrow R^Y \\ f_{\otimes}(\varphi)(y) &= \prod_{x \in f^{-1}(y)} \varphi(x), \end{aligned}$$

and

$$\begin{aligned} q_{\oplus} : R^Y &\rightarrow R^J \\ q_{\oplus}(\varphi)(j) &= \sum_{y \in q^{-1}(j)} \varphi(y), \end{aligned}$$

which represent composition (as pullback), fiberwise multiplication (as pushforward), and fiberwise addition (as pushforward), respectively. Altogether, this gives

$$\begin{aligned} q_{\oplus} f_{\otimes} p^* : M^I &\rightarrow M^J \\ (a_i)_{i \in I} &\mapsto \left(\sum_{y \in q^{-1}(j)} \prod_{x \in f^{-1}(y)} a_{p(x)} \right)_{j \in J}. \end{aligned}$$

Again, to construct such a functor, we need to consider the composition of bispans:

$$\begin{array}{ccccccc} & X & \xrightarrow{f} & Y & & X' & \xrightarrow{g} & Y' \\ & \swarrow p & & \searrow q & & \swarrow u & & \searrow v \\ I & & & J & & & & K \end{array}$$

As we have seen previously, we need to study the pullback structure so that we can resolve $v_{\oplus} g_{\otimes} u^* q_{\oplus} f_{\otimes} p^*$. Using similar construction, we have

$$v_{\oplus} g_{\otimes} u^* q_{\oplus} f_{\otimes} p^* = v_{\oplus} g_{\otimes} q'_{\oplus} u'^* f_{\otimes} p^*$$

$$\begin{aligned}
&= v_{\oplus} q''_{\oplus} g'_{\otimes} u'^* f_{\otimes} p^* \\
&= v_{\oplus} q''_{\oplus} g'_{\otimes} f'_{\otimes} u''^* p^* \\
&= (v q'')_{\oplus} (g' f')_{\otimes} (p u'')^*
\end{aligned}$$

assuming we can construct g'_{\otimes} and q''_{\oplus} such that $g_{\otimes} q'_{\oplus} = q''_{\oplus} g'_{\otimes}$. That is, we have constructed two pullback squares

$$\begin{array}{ccccccc}
& & A & \xrightarrow{f'} & Y \times_K X' & & \\
& \swarrow u'' & & \searrow u' & & \searrow q' & \\
X & \xrightarrow{f} & Y & & X' & \xrightarrow{g} & Y' \\
\swarrow p & & & \searrow q & \swarrow u & & \searrow v \\
I & & & J & & & K
\end{array} \tag{1.14}$$

To deal with this, recall that addition distributes over multiplication, therefore given any

$$I \xrightarrow{u} J \xrightarrow{v} K$$

we know $v_{\otimes} u_{\oplus} : R^I \rightarrow R^K$ is the mapping defined by

$$(a_i)_{i \in I} \mapsto \left(\prod_{j \in v^{-1}(k)} \sum_{i \in u^{-1}(j)} a_j \right)_{k \in K} = \left(\sum_{(i_j) \in \prod_{j \in v^{-1}(k)} u^{-1}(j)} \prod_{t \in v^{-1}(k)} a_{i_t} \right)_{k \in K}. \tag{1.15}$$

The goal is to identify the said image from Equation (1.15). Recall that the slice categories $\mathbf{FinSet}/\mathbf{K}$ and $\mathbf{FinSet}/\mathbf{J}$ are involved in a pullback/pushforward adjunction

$$\begin{array}{ccc}
\mathbf{FinSet}/\mathbf{K} & \xrightarrow{\cong} & \mathbf{Fun}(\mathbf{K}, \mathbf{Set}) \\
v^* \updownarrow v_* & & \\
\mathbf{FinSet}/\mathbf{J} & \xrightarrow{\cong} & \mathbf{Fun}(\mathbf{J}, \mathbf{Set})
\end{array} \tag{1.16}$$

where

- $\mathbf{FinSet}/\mathbf{J} \cong \mathbf{Fun}(\mathbf{J}, \mathbf{Set})$ is a Grothendieck correspondence, where given $u : I \rightarrow J$, we obtain a functor

$$\begin{aligned}
J &\rightarrow \mathbf{FinSet} \\
j &\mapsto u^{-1}(j)
\end{aligned}$$

- $\mathbf{FinSet}/\mathbf{K} \cong \mathbf{Fun}(\mathbf{K}, \mathbf{Set})$ is a Grothendieck correspondence, where given $v : J \rightarrow K$, we obtain a functor

$$\begin{aligned}
K &\rightarrow \mathbf{FinSet} \\
k &\mapsto v^{-1}(k)
\end{aligned}$$

- the Grothendieck correspondences give rise to (Co)cartesian fibrations;
- $h = v_* u \in \mathbf{Set}/\mathbf{K}$ is a functor, and by the correspondence we obtain a functor

$$\begin{aligned}
h' : K &\rightarrow \mathbf{Set} \\
k &\mapsto \prod_{j \in v^{-1}(k)} u^{-1}(j) = \prod_{j \in h^{-1}(k)} u^{-1}(j)
\end{aligned}$$

- v^* is the pullback along $v : J \rightarrow K$. In particular, consider the counit $\varepsilon : v^*v_*I \rightarrow I$ of the adjunction, then for $X = v_*I$, the pullback $v^*X = v^*v_*I$ gives a counit ε in the diagram

$$\begin{array}{ccccc}
 & & v^*v_*I & \xrightarrow{\tilde{v}} & v_*I \\
 & \varepsilon \swarrow & \downarrow & & \downarrow h \\
 I & & & & \\
 & \searrow u & \downarrow & & \downarrow v \\
 & & J & \xrightarrow{\quad} & K
 \end{array} \tag{1.17}$$

We now make an effort to show that [Diagram 1.17](#) actually commutes.

For any $k \in K$, we pullback $\alpha \in X$ such that $h(\alpha) = k$, but by the correspondence we know α is in the image of k along h' . Similarly, for $k \in K$, we pullback $j \in J$, and using the same argument we would then conclude that the pullback element in v^*X is just a pair (α, j) .

Now let $i = \varepsilon(\alpha, j)$, but one can identify i to be the image of α under the projection $h^{-1}(k) \rightarrow \prod_{j' \in v^{-1}(k)} u^{-1}(j') \rightarrow u^{-1}(j)$. Therefore, $\alpha = (i_j)_{j \in v^{-1}(k)}$. For any fixed α , one can then identify

$$\prod_{t \in v^{-1}(k)} a_{it} = \prod_{j \in v^{-1}(k)} a_{\varepsilon(\alpha, j)}.$$

Therefore, the image of [Equation \(1.15\)](#) is

$$\left(\sum_{\alpha \in h^{-1}(k)} \prod_{j \in v^{-1}(k)} a_{\varepsilon(v, j)} \right)_{k \in K} = h_{\oplus} \tilde{v}_{\otimes} \varepsilon^* (a_i)_{i \in I}.$$

In particular, we obtain

$$v_{\otimes} u_{\oplus} = h_{\oplus} \tilde{v}_{\otimes} \varepsilon^*,$$

i.e., [Diagram 1.17](#) commutes, which describes the distributivity.

Let us go back to [Diagram 1.14](#). Using [Diagram 1.17](#), we extend the diagram to

$$\begin{array}{ccccccc}
 & & A & \xrightarrow{f'} & Y \times_K X' & \xleftarrow{\varepsilon} & B & \xrightarrow{\tilde{g}} & Z \\
 & u'' \swarrow & & \searrow u' & & \searrow q' & \downarrow g^* g_* & & \downarrow h = g_* q' \\
 & X & \xrightarrow{f} & Y & & X' & \xrightarrow{g} & Y' \\
 p \swarrow & & & \searrow q & & \searrow u & & \searrow v \\
 I & & & J & & & & K
 \end{array}$$

which can be extended by taking one last pullback

$$\begin{array}{ccccccc}
 & & & & C & & \\
 & & & & \varepsilon' \swarrow & & \searrow f'' \\
 & & A & \xrightarrow{f'} & Y \times_K X' & \xleftarrow{\varepsilon} & B & \xrightarrow{\tilde{g}} & Z \\
 & u'' \swarrow & & \searrow u' & & \searrow q' & \downarrow g^* g_* & & \downarrow g_* q' \\
 & X & \xrightarrow{f} & Y & & X' & \xrightarrow{g} & Y' \\
 p \swarrow & & & \searrow q & & \searrow u & & \searrow v \\
 I & & & J & & & & K
 \end{array}$$

(−)* ⊗ ⊕

and we define the composition to be the outer bispan in this diagram.

Remark 1.18. An explicit construction of this $(2, 1)$ -category $\mathbf{Bispan}(\mathbb{F})$ can be found in [Cra09], where it is proven that the category has a product structure given by coproducts of \mathbf{FinSet} . In this sense, commutative semirings in a category \mathcal{S} correspond to functors $\mathbf{Bispan}(\mathbb{F}) \rightarrow \mathcal{S}$ that preserve finite products.

Definition 1.19. As a dual notion to span, a cospan is a zigzag of the form

$$\begin{array}{ccc} & S & \\ f \nearrow & & \nwarrow g \\ I & & J \end{array}$$

Remark 1.20. The duality shows an equivalence of categories $\mathbf{Span}(\mathcal{C}) \cong \mathbf{Cospan}(\mathcal{C}^{\mathrm{op}})$ as $(2, 1)$ -categories.

2 ∞ -CATEGORIES

2.1 CONSTRUCTIONS ON ∞ -CATEGORIES

Definition 2.1. Let $r \leq n \leq \infty$. An (n, r) -category has the usual objects and (1-)morphisms like an ordinary category, but also i -morphisms for $0 \leq i < \infty$, such that

- when $i > r$, every i -morphism is invertible, and
- when $i > n$, every i -morphism is trivial.

We mostly consider the $(\infty, 1)$ -categories. Let $\mathbf{sSet} = \mathbf{Fun}(\Delta^{\text{op}}, \mathbf{Set})$ be the category of simplicial sets, then any simplicial set $X \in \mathbf{sSet}$ is a diagram of the form

$$\cdots \begin{array}{c} \xrightarrow{\text{blue}} \\ \xleftarrow{\text{red}} \\ \xrightarrow{\text{blue}} \\ \xleftarrow{\text{red}} \\ \xrightarrow{\text{blue}} \end{array} X_2 \begin{array}{c} \xrightarrow{\text{blue}} \\ \xleftarrow{\text{red}} \\ \xrightarrow{\text{blue}} \\ \xleftarrow{\text{red}} \\ \xrightarrow{\text{blue}} \end{array} X_1 \begin{array}{c} \xrightarrow{\text{blue}} \\ \xleftarrow{\text{red}} \\ \xrightarrow{\text{blue}} \\ \xleftarrow{\text{red}} \\ \xrightarrow{\text{blue}} \end{array} X_0 \quad (2.2)$$

where $X_n = X([n]) \in \mathbf{Set}$ is the set of n -simplices of X . In [Diagram 2.2](#), the blue arrows $X_n \rightarrow X_{n-1}$ are called the face maps, as they assign each n -simplex to the face not containing the i th vertex for $0 \leq i \leq n$; the red arrows $X_n \rightarrow X_{n+1}$ are called the degeneracy maps, as they assign each n -simplex to the degenerate $(n+1)$ -simplex by duplicating the i th vertex. These maps satisfy the simplicial identity, c.f., [\[GJ09\]](#), that is,

- if $i < j$, then $\partial_i \circ \partial_j = \partial_{j-1} \circ \partial_i$;
- if $i > j$, then $s_i \circ s_j = s_j \circ s_{i-1}$;
- face maps and degeneracy maps are compatible, as

$$\partial_i \circ s_j = \begin{cases} s_{j-1} \circ \partial_i, & i < j \\ \text{id}_n, & i \in \{j, j+1\} \\ s_i \circ \partial_{i-1}, & i > j+1 \end{cases}$$

Remark 2.3. The degeneracy maps usually play a role when the algebraic structure involves a unital object, e.g., existence of monoidal structure. They are often times omitted when, for example, we study non-unital monoidal objects, in which case we only draw the face maps.

Definition 2.4. A morphism $p : X \rightarrow Y$ is called an inner fibration if for every $0 < i < n$, any commutative diagram

$$\begin{array}{ccc} \Lambda_i^n & \xrightarrow{\quad} & X \\ \downarrow i & \nearrow & \downarrow p \\ \Delta^n & \xrightarrow{\quad} & Y \end{array} \quad (2.5)$$

in \mathbf{sSet} admits a solution.

Definition 2.6. We say a simplicial set $X \in \mathbf{sSet}$ is an $(\infty, 1)$ -category¹ if $X \rightarrow * \cong \Delta^0$ is an inner fibration.

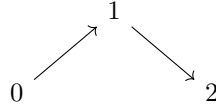
Remark 2.7. The following conditions are equivalent:

- $X \in \mathbf{sSet}$ is an $(\infty, 1)$ -category;
- the induced map $i^* : X^{\Delta^n} \rightarrow X^{\Lambda_i^n}$ of i from [Diagram 2.5](#) is a trivial Kan fibration for all $0 < i < n$;

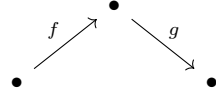
¹Alternatively, we also call it an ∞ -category or a quasi-category, depending on sources.

- the induced map $i^* : X^{\Delta^2} \rightarrow X^{\Lambda_1^2}$ of i from [Diagram 2.5](#) is a trivial (acyclic) Kan fibration;²

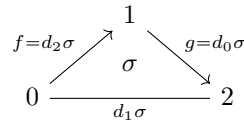
Note that a vertex $v \in X^{\Lambda_1^2}$ is just a map $\Lambda_1^2 \rightarrow X$ from the inner horn. Let us draw the inner horn as



then its image in X is the pair of composable morphisms in X . Now a vertex in X^{Δ^2} is a 2-simplex in X , therefore for each pair of composable morphisms



it can be completed to a 2-simplex σ



We then define the composite $g \circ f = d_1\sigma$. The trivial fibration condition then means that once we pick composable arrows in X , then the fiber over vertex $v \in X^{\Lambda_1^2}$ will be a trivial Kan complex. Therefore, the conditions above are equivalent to

- the composition problem has a unique solution (up to contractible space of choices).

The $(\infty, 1)$ -categories in **sSet** forms a full subcategory, denoted **qCat**.

Remark 2.8. For $K \in \mathbf{sSet}$, and $\mathcal{C} \in \mathbf{qCat}$, we have $\mathcal{C}^K = \mathbf{Fun}(\mathbf{K}, \mathcal{C}) \in \mathbf{sSet}$.

We call an $(\infty, 1)$ -category a weak Kan complex, which describes the property that it is a simplicial set for which all inner horns have a filler. It is weaker than a Kan complex, which exhibits the property that every horn has a filler.

Definition 2.9. Let $\mathcal{C} \in \mathbf{qCat}$, we say a simplicial subset $\mathcal{C}' \subseteq \mathcal{C}$ is an $(\infty, 1)$ -subcategory if $i : \mathcal{C}' \hookrightarrow \mathcal{C}$ is an inner fibration.

Recall that for any $(\infty, 1)$ -category \mathcal{C} , its homotopy category $h\mathcal{C}$ is given by quotienting homotopy relations, which identifies 1-morphisms that are connected by some 2-morphism.³ With this, $h\mathcal{C} \in \mathbf{Cat}$ is an ordinary 1-category. Conversely, given any ordinary category, one can show that its nerve has a simplicial set structure, and in particular becomes an $(\infty, 1)$ -category. Now note that the two functors $h(-)$ and $N(-)$ give an adjunction

$$\begin{array}{ccc} \mathbf{qCat} & & \\ h \downarrow & \uparrow N & \\ \mathbf{Cat} & & \end{array} \quad (2.10)$$

of 1-categories. The unit of this adjunction

$$\begin{aligned} F : \mathbf{qCat} &\rightarrow \mathbf{qCat} \\ \mathcal{C} &\mapsto N(h\mathcal{C}) \end{aligned}$$

²This equivalence is given by the Joyal model structure on **sSet**, c.f., [Lur09].

³In particular, this is the restriction of the natural functor **sSet** \rightarrow **Cat** to **qCat**.

is an inner fibration. For any subcategory $A \subseteq h\mathcal{C}$, we consider the mapping

$$A \mapsto F^{-1}(NA) = NA \times_{N(h\mathcal{C})} \mathcal{C}.$$

Here it is notable that $F^{-1}(NA)$ acts as the pullback diagram

$$\begin{array}{ccc} F^{-1}(NA) & \hookrightarrow & \mathcal{C} \\ \downarrow & & \downarrow F \\ NA & \hookrightarrow & Nh\mathcal{C} \end{array} \quad (2.11)$$

Now the defined mapping above gives a bijection from subcategories of the ordinary category $h\mathcal{C}$ to the subcategories of the $(\infty, 1)$ -category \mathcal{C} .

Definition 2.12. If, in addition, that A is a full subcategory of $h\mathcal{C}$, then we say $\mathcal{C} \times_{Nh\mathcal{C}} NA$ is the full $(\infty, 1)$ -subcategory of \mathcal{C} .

Remark 2.13. Given a functor $F : \mathcal{C} \rightarrow \mathcal{E}$ of $(\infty, 1)$ -categories, and suppose we have an ∞ -subcategory $\mathcal{C}' \subseteq \mathcal{C}$, then the idea is that the restricted functor $F' : \mathcal{C}' \rightarrow \mathcal{E}$ can be realized if we just look at the effect of F on vertices and edges in \mathcal{C}' .

Definition 2.14. Given an $(\infty, 1)$ -category \mathcal{C} , the core of \mathcal{C} is $\text{core}(\mathcal{C}) = \mathcal{C}^\simeq$, the underlying ∞ -groupoid (an $(\infty, 0)$ -category) obtained by discarding non-invertible morphisms. Alternatively, we can it the largest Kan complex contained in \mathcal{C} .

Remark 2.15. For an $(\infty, 1)$ -category \mathcal{C} , let $A = (h\mathcal{C})^\simeq$ be the core of the homotopy category, then the core \mathcal{C}^\simeq of \mathcal{C} is just $F^{-1}(NA)$, i.e., fits into [Diagram 2.11](#).

Remark 2.16. For a 1-category \mathcal{C} , there is a canonical isomorphism

$$N(\mathcal{C})^\simeq \cong N(\mathcal{C}^\simeq).$$

Remark 2.17. Given a functor $F : \mathcal{C} \rightarrow \mathcal{D}$ of $(\infty, 1)$ -categories, F sends \mathcal{C}^\simeq to \mathcal{D}^\simeq , and therefore there is a morphism $F^\simeq : \mathcal{C}^\simeq \rightarrow \mathcal{D}^\simeq$ of \mathbf{sSet} .

Now suppose $X \in \mathbf{sSet}$, then X has a dual object $X^{\text{op}} \in \mathbf{sSet}$.

Definition 2.18. The dual object X^{op} is a simplicial set, with $X_n^{\text{op}} = X_n$, where $d_i : X_n^{\text{op}} \rightarrow X_{n-1}^{\text{op}}$ is defined by $d_{n-i} : X_n \rightarrow X_{n-1}$, and $s_i : X_n^{\text{op}} \rightarrow X_{n+1}^{\text{op}}$ is defined by $s_{n-i} : X_n \rightarrow X_{n+1}$.

Definition 2.19. Suppose $C, D \in \mathbf{qCat}$, and fix a vertex $d \in \mathcal{D}$, which is viewed as a map $d : \Delta^0 \rightarrow \mathcal{D}$. We denote $\mathcal{D}_{/d}$ to be the slice category of \mathcal{D} over d , and $\mathcal{D}_{d/}$ to be the coslice category of \mathcal{D} under d . Now let $p : \mathcal{C} \rightarrow \mathcal{D}$ be a morphism in \mathbf{sSet} , then the pullback of the (co)slice category gives rise to another (co)slice category. To be precise,

- $\mathcal{C}_{/d} = p^* \mathcal{D}_{/d}$ is the slice $(\infty, 1)$ -category of \mathcal{C} over $d \in \mathcal{D}$, fitting into the Cartesian square

$$\begin{array}{ccc} \mathcal{C}_{/d} & \longrightarrow & \mathcal{C} \\ \downarrow & & \downarrow p \\ \mathcal{D}_{/d} & \longrightarrow & \mathcal{D} \end{array} \quad (2.20)$$

in \mathbf{sSet} ;

- $\mathcal{C}_{d/} = p^* \mathcal{D}_{d/}$ is the coslice $(\infty, 1)$ -category of \mathcal{C} under $d \in \mathcal{D}$, fitting into the Cartesian square

$$\begin{array}{ccc} \mathcal{C}_{d/} & \longrightarrow & \mathcal{C} \\ \downarrow & & \downarrow p \\ \mathcal{D}_{d/} & \longrightarrow & \mathcal{D} \end{array} \quad (2.21)$$

in \mathbf{sSet} ;

Here there exists natural functors $\mathcal{D}_{/d} \rightarrow \mathcal{D}$ and $\mathcal{D}_{d/} \rightarrow \mathcal{D}$ by forgetting the vertex d .

More generally, there exists a version of (co)slice categories over morphisms $f : K \rightarrow \mathcal{D}$ for $K \in \mathbf{sSet}$.

To define limits and colimits on $(\infty, 1)$ -categories, we require the notion of join.

2.2 (Co)LIMITS

Definition 2.22. For 1-categories \mathcal{A}, \mathcal{B} , the join $\mathcal{A} \star \mathcal{B}$ is a 1-category with objects $\mathrm{Ob}(\mathcal{A}) \amalg \mathrm{Ob}(\mathcal{B})$ and morphisms $\mathrm{Mor}(\mathcal{A}) \amalg (\mathrm{Ob}(\mathcal{A}) \times \mathrm{Ob}(\mathcal{B})) \amalg \mathrm{Mor}(\mathcal{B})$. That is,

$$\mathrm{Hom}_{\mathcal{A} \star \mathcal{B}}(x, y) = \begin{cases} \mathrm{Hom}_{\mathcal{A}}(x, y), & x, y \in \mathrm{Ob}(\mathcal{A}) \\ \mathrm{Hom}_{\mathcal{B}}(x, y), & x, y \in \mathrm{Ob}(\mathcal{B}) \\ \{*\}, & x \in \mathrm{Ob}(\mathcal{A}), y \in \mathrm{Ob}(\mathcal{B}) \\ \emptyset, & x \in \mathrm{Ob}(\mathcal{B}), y \in \mathrm{Ob}(\mathcal{A}) \end{cases}$$

Definition 2.23. For a category \mathcal{A} , the left cone is $\mathcal{A}^{\triangleleft} = [0] \star \mathcal{A}$, and the right cone is $\mathcal{A}^{\triangle} = \mathcal{A} \star [0]$.

Therefore, the cone adjoins an extra vertex onto the simplicial set.

Definition 2.24. Let $F : \mathcal{A} \rightarrow \mathcal{B}$ be a functor. A limit of F is a functor $\hat{F}' : \mathcal{A}^{\triangleleft} \rightarrow \mathcal{B}$ which is terminal among all functors that extend F . Similarly, a colimit of F is a functor $\hat{F} : \mathcal{A}^{\triangle} \rightarrow \mathcal{B}$ which is initial among functors which extend F .

Here we need to explain what initial and terminal means in terms of universal properties of $(\infty, 1)$ -categories.

Definition 2.25. Let \mathcal{C} be an $(\infty, 1)$ -category. We say $x \in \mathcal{C}$ is terminal if the canonical map $\mathcal{C}_{/x} \rightarrow \mathcal{C}$ is an acyclic Kan fibration of simplicial. That is, the mapping spaces $\mathrm{Map}_{\mathcal{C}}(c, x)$ are acyclic Kan complexes for all objects $c \in \mathcal{C}$. We say $x \in \mathcal{C}$ is initial if it is a terminal object in $\mathcal{C}^{\mathrm{op}}$.

Remark 2.26. Let \mathcal{C} be an ordinary category. Note that the mapping space $\mathrm{Map} = \mathrm{Map}_{\mathcal{C}} : \mathcal{C}^{\mathrm{op}} \times \mathcal{C} \rightarrow \mathcal{S}$ is a functor into \mathcal{S} , the $(\infty, 1)$ -category of (small) spaces (anima/ ∞ -groupoids). Therefore, the mapping space is a simplicial set. With this in mind, a general (co)limit in \mathcal{C} should preserve limits in each variable. That is,

$$\mathrm{Map}_{\mathcal{C}}(\mathrm{colim}_{i \in I}(a_i), b) \cong \lim_{i \in I^{\mathrm{op}}} \mathrm{Map}_{\mathcal{C}}(a_i, b)$$

and

$$\mathrm{Map}_{\mathcal{C}}(b, \mathrm{colim}_{i \in I}(a_i)) \cong \lim_{i \in I^{\mathrm{op}}} \mathrm{Map}_{\mathcal{C}}(b, a_i)$$

for $a_i, b \in \mathcal{C}$. These are restatements of the universal property of (co)limits, as we view Map as hom sets.

Let $K \in \mathbf{sSet}$, then the slice category $\mathcal{D}_{/d}$ has the universal property: the hom set of 1-category \mathbf{sSet} satisfies

$$\mathbf{sSet}(K, \mathcal{D}_{/d}) \cong \mathrm{Hom}_d(K^{\triangleright}, \mathcal{D}).$$

In particular, if $K = \Delta^n$, then we get all n -simplices in the simplicial set \mathcal{D}_d , which gives a description of this category. The hom set $\mathbf{Hom}_d(K^\triangleright, \mathcal{D})$ is a subset of the simplicial sets $\mathbf{sSet}(K^\triangleright, \mathcal{D})$. Dually, there is an isomorphism

$$\mathbf{sSet}(K, \mathcal{D}_d) \cong \mathbf{Hom}_d(K^\triangleleft, \mathcal{D}).$$

Now recall that $(\infty, 1)$ -categories are weak Kan complexes, therefore **Kan**, the $(\infty, 1)$ -category of Kan complexes⁴, becomes a subcategory of **qCat**. Both categories are simplicially-enriched, i.e., as **sSet**-categories. By applying the homotopy coherent nerve functor N^{hc} on the inclusion, we obtain another inclusion $\mathcal{S} \subseteq \mathbf{Cat}_\infty$ of $(\infty, 1)$ -categories. This inclusion functor gives an adjunction triple

$$\begin{array}{c} \mathcal{S} \\ \downarrow \uparrow \uparrow \downarrow \\ \mathbf{Cat}_\infty \end{array} \begin{array}{c} \uparrow \downarrow \downarrow \uparrow \\ \text{Core}(-) \end{array} \quad (2.27)$$

where $|\cdot|$ is the ∞ -groupoid completion and $\text{Core}(-)$ is the core functor.

Finally, we study cofinality in simplicial sets.

Definition 2.28. A map $v : K' \rightarrow K$ in **sSet** is right cofinal if it satisfies all of the following (equivalent) conditions:

- v respects all colimits, i.e., for every $(\infty, 1)$ -category \mathcal{C} and any colimit cocone $K^\triangleright \rightarrow \mathcal{C}$, the composition $K'^\triangleright \xrightarrow{v} K^\triangleright \rightarrow \mathcal{C}$ is also a colimit cocone.
- v respects colimits in $\mathcal{S}^{\mathrm{op}}$.

If, in addition, K is an $(\infty, 1)$ -category, then they are equivalent to

- for every object $k \in K$, the simplicial set $K'_{x/}$ is weakly contractible.⁵ That is, $K'_{x/} \sim \Delta^0$.

A map $v : K' \rightarrow K$ in **sSet** is left cofinal if $v^{\mathrm{op}} : K'^{\mathrm{op}} \rightarrow K^{\mathrm{op}}$ is right cofinal.

Remark 2.29.

- Left (respectively, right) cofinal maps are stable under products, i.e., if $v : K' \rightarrow K$ is left (respectively, right) cofinal, then so is $K' \times L \rightarrow K \times L$ for any $L \in \mathbf{sSet}$.
- A left (respectively, right) adjoint is left (respectively, right) cofinal.
- Left (respectively, right) cofinal maps are stable under pushforwards (respectively, pullbacks) along Cartesian (respectively, Cocartesian) fibrations.

Definition 2.30. A morphism $p : A \rightarrow B$ in **sSet** is proper if, for any pullback pairs in **sSet** of the form

$$\begin{array}{ccccc} A'' & \xrightarrow{u} & A' & \longrightarrow & A \\ \downarrow & & \downarrow & & \downarrow p \\ B'' & \xrightarrow{v} & B' & \longrightarrow & B \end{array} \quad (2.31)$$

$u : A'' \rightarrow A'$ is right cofinal whenever $v : B'' \rightarrow B'$ is.

Definition 2.32. A morphism $p : A \rightarrow B$ in **sSet** is smooth if its opposite morphism $p^{\mathrm{op}} : A^{\mathrm{op}} \rightarrow B^{\mathrm{op}}$ in **sSet** is proper. That is, given [Diagram 2.31](#), $u : A'' \rightarrow A'$ is left cofinal whenever $v : B'' \rightarrow B'$ is.

Remark 2.33.

⁴This is sometimes referred to as “the ∞ -category of spaces”.

⁵Unless stated otherwise, we always mean this is in terms of Kan-Quillen model structure of **sSet**.

- The class of proper morphisms in \mathbf{sSet} and the class of smooth morphisms in \mathbf{sSet} are both stable under composition and under base-change.
- Cocartesian fibrations are proper; Cartesian fibrations are smooth.

Definition 2.34. Let κ be a regular cardinal.

- A simplicial set K is κ -small if the number of its non-degenerate simplices is less than κ . In particular, if $\kappa = \omega = \aleph_0$ is the countable regular cardinal, then it is finite.
- A simplicial set L is κ -filtered if, for any κ -small simplicial set K and any map $v : K \rightarrow L$ in \mathbf{sSet} , the simplicial set $L_{v/}$ is non-empty. That is, v can be extended to a cocone $\bar{v} : K^\triangleright \rightarrow L$. Moreover, we say L is filtered if it is ω -filtered, that is, every finite diagram in L extends to a cocone.

Remark 2.35. An $(\infty, 1)$ -category \mathcal{C} is filtered if and only if for any integer $n \geq 0$, every morphism $\partial\Delta^n \rightarrow \mathcal{C}$ of simplicial sets can be extended to a morphism of the form $(\partial\Delta^n)^\triangleright \rightarrow \mathcal{C}$.

Dually, a simplicial set L is (κ) -cofiltered if its dual L^{op} is (κ) -filtered.

Remark 2.36.

- Any (co)filtered $(\infty, 1)$ -category is weakly contractible.
- A Kan complex is (co)filtered if and only if it is weakly contractible.
- A simplicial set K is sifted if $K \neq \emptyset$ and its diagonal $\Delta : K \rightarrow K \times K$ is cofinal. Equivalently, the diagonal $\Delta : K \rightarrow K^I$ is right cofinal for any finite set I . A simplicial set K is cosifted if K^{op} is sifted.

Remark 2.37.

- A (co)sifted simplicial set is weakly contractible.
- Let \mathcal{C} be an $(\infty, 1)$ -category. \mathcal{C} is sifted if and only if, for every pair of objects $a, b \in \mathcal{C}$, the underlying simplicial set $\mathcal{C}_{a/} \times_{\mathcal{C}} \mathcal{C}_{b/}$ is weakly contractible. In particular, any $(\infty, 1)$ -category with finite coproducts is sifted.

To prove this, consider an $(\infty, 1)$ -category K and any map $K' \rightarrow K$, then the sifted property says that $K'_{x/} \sim \Delta^0$ is weakly contractible for any $x \in K$. By definition, any arbitrary pair of vertices $a, b \in K$ gives a commutative square

$$\begin{array}{ccc} K_{(a,b)/} & \longrightarrow & K \\ \downarrow & & \downarrow \Delta \\ K_{a/} \times K_{b/} & \longrightarrow & K \times K \end{array} \quad (2.38)$$

One can check $K_{(a,b)/} \cong K_{a/} \times_K K_{b/}$ pointwise. Therefore, it is equivalent to saying that $K_{a/} \times_K K_{b/}$ is weakly contractible.

- Let \mathcal{A} be an 1-category. \mathcal{A} is sifted if and only if $A_{a/} \times_A A_{b/}$ is connected for any $a, b \in A$. (That is, the diagonal functor respects all limits in 1-categories.)
- If $v : K' \rightarrow K$ is a right cofinal map of simplicial sets and K is sifted, then K' is also sifted.
- Let $F : \mathcal{C} \rightarrow \mathcal{D}$ be a functor of $(\infty, 1)$ -categories where \mathcal{C} is cocomplete, then F preserves sifted colimits if and only if it preserves filtered colimits and geometric realizations. Here the geometric realization means any colimit indexed by Δ^{op} .
- Any colimit can be written as a sifted colimit of finite coproducts.

Example 2.39.

- $N\Delta^{\text{op}}$ is sifted.
- Any non-empty filtered simplicial set is sifted.

Proposition 2.40. Let $v : \mathcal{C} \rightarrow \mathcal{D}$ be a right cofinal functor of $(\infty, 1)$ -categories, then \mathcal{D} is filtered if \mathcal{C} is.

Theorem 2.41. Let \mathcal{C} be an $(\infty, 1)$ -category, then the following are equivalent:

- \mathcal{C} is filtered;
- there exists a right cofinal functor $NA \rightarrow \mathcal{C}$ for some directed poset A ;
- the diagonal map $\Delta : \mathcal{C} \rightarrow \mathcal{C}^K$ is right cofinal for every finite simplicial set K .

Definition 2.42. Let $p : \mathcal{C} \rightarrow \mathcal{D}$ be a functor of $(\infty, 1)$ -categories. We say p is fully faithful if

$$p_* : \text{Map}_{\mathcal{C}}(c, c') \rightarrow \text{Map}_{\mathcal{D}}(pc, pc')$$

is an equivalence for any vertices $c, c' \in \mathcal{C}$.

Remark 2.43. Note that we did not give a precise definition of the mapping space. However, We should think of the hom set $\text{Map}_{\mathcal{C}}(c, c')$ to be the pullback of

$$\begin{array}{ccc} \text{Map}_{\mathcal{C}}(c, c') & \longrightarrow & \mathcal{C}^{\Delta^1} \\ \downarrow & & \downarrow \\ \Delta^0 & \xrightarrow{(c, c')} & \mathcal{C} \times \mathcal{C} \end{array} \quad (2.44)$$

Here

- \mathcal{C}^{Δ^1} gives the edges in \mathcal{C} , then the map $\mathcal{C}^{\Delta^1} \rightarrow \mathcal{C} \times \mathcal{C}$ is the map landing at the source and the target;
- $(c, c') : \Delta^0 \rightarrow \mathcal{C} \times \mathcal{C}$ is the map landing at the pair (c, c') .

Theorem 2.45. Let

$$\begin{array}{c} \mathcal{C} \\ p \downarrow \uparrow q \\ \mathcal{D} \end{array}$$

be an adjunction of $(\infty, 1)$ -categories with unit η and counit ε , then η is a natural equivalence if and only if p is fully faithful. In addition, the essential image of p consists of objects $d \in \mathcal{D}$ such that ε_d is an equivalence. That is, the essential image gives the full subcategory of \mathcal{D} to which the restriction of q is conservative.

Lemma 2.46. Given an adjunction triple $F \dashv U \dashv G$ of $(\infty, 1)$ -categories, there is an adjunction pair $UF \dashv UG$ of $(\infty, 1)$ -categories.

Theorem 2.47. Let

$$\begin{array}{c} \mathcal{C} \\ p \downarrow \uparrow q \\ \mathcal{D} \end{array}$$

be an adjunction of $(\infty, 1)$ -categories. For any $K \in \mathbf{sSet}$ and $\mathcal{E} \in \mathbf{qCat}$, we obtain adjunctions

$$\begin{array}{c} \text{Fun}(K, \mathcal{C}) \\ p_* \downarrow \uparrow q_* \\ \text{Fun}(K, \mathcal{D}) \end{array}$$

and

$$\begin{array}{c} \mathrm{Fun}(\mathcal{C}, \mathcal{E}) \\ q^* \downarrow \uparrow p^* \\ \mathrm{Fun}(\mathcal{D}, \mathcal{E}) \end{array}$$

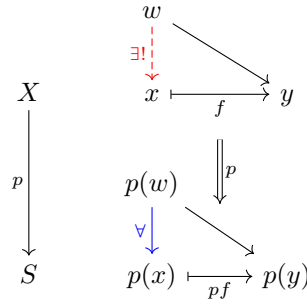
of $(\infty, 1)$ -categories.

3 (CO)CARTESIAN FIBRATION AND GROTHENDIECK-LURIE CORRESPONDENCES

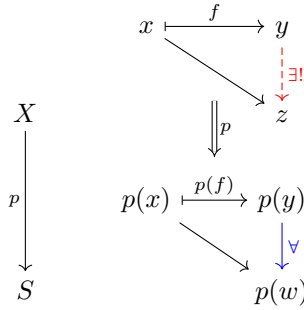
Remark 3.1.

- Vaguely speaking, let $f : X \rightarrow S$ be a morphism in \mathbf{sSet} . If f is a (Co)cartesian fibration over S , then f is a categorical fibration (over a model $(\infty, 1)$ -category) over S , which is an inner fibration over S . ([Lur09], Remark 2.0.0.5.)
- For a morphism a Cocartesian fibration $f : X \rightarrow S$ over S is determined exactly by a functor $S \rightarrow \mathbf{Cat}_\infty$, according to the $(\infty, 1)$ -Grothendieck construction.

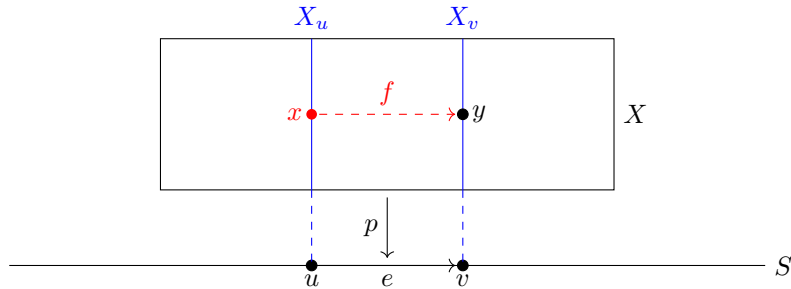
Definition 3.2. Let $p : X \rightarrow S$ be an inner fibration of simplicial sets with an edge $f : x \rightarrow y$ in X_1 . We say f is p -Cartesian (or a Cartesian morphism) if $X/f \rightarrow X/y \times_{S/p(y)} S/p(f)$ is a trivial Kan fibration. Equivalently, f is terminal among all morphisms to y that lifts $p(f) \in S_1$.



Dually, we say f is p -Cocartesian (or a Cocartesian morphism) if $f^{\text{op}} : y \rightarrow x$ is p^{op} -Cartesian. That is, f is initial among all morphisms from x that lifts $p(f) \in S_1$.



Definition 3.3. We say a morphism $p : X \rightarrow S$ is a Cartesian fibration if it is an inner fibration, and for any edge $e : u \rightarrow v$ in S_1 and any $y \in p^{-1}(v) =: X_v$, there exists a p -Cartesian edge $f : x \rightarrow y$ in X_1 over e such that $p(f) = e$.



Dually, we say f is a Cocartesian fibration if $p^{\text{op}} : X^{\text{op}} \rightarrow S^{\text{op}}$ is a Cartesian fibration. Moreover, if f is both Cartesian and Cocartesian, we then say f is a Bicartesian fibration.

For an $(\infty, 1)$ -category S , note that there is a category $\mathbf{Cat}_{\infty/S}$, the slice $(\infty, 1)$ -category of $(\infty, 1)$ -categories over S . With Cartesian and Cocartesian fibrations, we can construct a $(\infty, 1)$ -subcategory $\mathbf{Cat}_{\infty/S}^{\text{Cart}} \subseteq \mathbf{Cat}_{\infty/S}$, the $(\infty, 1)$ -category of Cartesian fibrations $X \rightarrow S$ for some fixed $S \in \mathbf{qCat}$. Any morphism of $\mathbf{Cat}_{\infty/S}^{\text{Cart}}$ between $p : X \rightarrow S$ and $p' : X' \rightarrow S$ is a commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{\quad} & X' \\ & \searrow p & \swarrow p' \\ & S & \end{array}$$

of simplicial sets, where $X \rightarrow X'$ preserves Cartesian edges, i.e., sending p -Cartesian edges to p' -Cartesian edges. Therefore, the set of morphisms between p and p' is $\text{Map}_{\mathbf{Cat}_{\infty/S}^{\text{Cart}}}(p, p') \subseteq \text{Map}_{\mathbf{Cat}_{\infty/S}}(p, p')$.

Recall that we have a functor

$$\begin{aligned} F : \mathbf{qCat} &\rightarrow \mathbf{qCat} \\ \mathcal{C} &\mapsto N(h\mathcal{C}) \end{aligned}$$

that is the unit of the adjunction in [Diagram 2.10](#). For any subcategory $A \subseteq h\mathcal{C}$, we know $NA \times_{N(h\mathcal{C})} \mathcal{C}$ is an $(\infty, 1)$ -subcategory of \mathcal{C} , and we established that there is a correspondence between $(\infty, 1)$ -subcategories of \mathcal{C} and subcategories of $h\mathcal{C}$. Therefore, showing $\mathbf{Cat}_{\infty/S}^{\text{Cart}} \subseteq \mathbf{Cat}_{\infty/S}$ is an $(\infty, 1)$ -subcategory of $\mathbf{Cat}_{\infty/S}$ boils down to showing the diagrams are closed under composition, which is obvious: composition of morphisms preserving Cartesian edges should still preserve Cartesian edges.

Remark 3.4. By definition, being a (Co)cartesian fibration is invariant under base-change. Therefore, if $\pi : X \rightarrow S$ is a (Co)cartesian fibration and $T \rightarrow S$ is any map, then $X \times_S T \rightarrow T$ is a (Co)cartesian fibration as well. In particular, $\pi^{-1}(s)$ is an $(\infty, 1)$ -category for every $s \in S$.

Definition 3.5. Let $p : X \rightarrow S$ be a Cartesian fibration, then a Cartesian section of p is a section $s : S \rightarrow X$ that sends all 1-morphisms of S to Cartesian morphisms in X . The collection of all Cartesian sections is denoted

$$\Gamma^{\text{Cart}}(p) = \{s : S \rightarrow X : ps = \text{id}_S, s(S_1) \text{ are Cartesian edges in } X_1\} \subseteq \mathbf{Fun}_S(S, X).$$

This becomes the $(\infty, 1)$ -category of Cartesian sections over p .

Proposition 3.6. Let X and S be $(\infty, 1)$ -categories, $p : X \rightarrow S$ be an inner fibration, and let $f : x \rightarrow y$ be an edge in X_1 .

1. f is p -Cartesian if and only if for all $w \in X_0$, the diagram

$$\begin{array}{ccc} \text{Map}_X(w, x) & \xrightarrow{f_*} & \text{Map}_X(w, y) \\ p \downarrow & & \downarrow p \\ \text{Map}_S(pw, px) & \xrightarrow{p(f)_*} & \text{Map}_S(pw, py) \end{array}$$

is a pullback in S .

2. f is p -Cocartesian if and only if for all $z \in X_0$, the diagram

$$\begin{array}{ccc} \text{Map}_X(y, z) & \xrightarrow{f_*} & \text{Map}_X(x, z) \\ p \downarrow & & \downarrow p \\ \text{Map}_S(py, pz) & \xrightarrow{p(f)_*} & \text{Map}_S(px, pz) \end{array}$$

is a pullback in S .

Example 3.7. Let $\mathbf{CMon}(\mathbf{Ab})$ be the category of commutative rings, and define $\mathbf{Mod}(\mathbf{Ab}) = \{(A, M) : A \in \mathbf{CMon}(\mathbf{Ab}), M \in \mathbf{Mod}(A)\}$, then $\mathbf{Mod}(\mathbf{Ab})$ is a category with morphisms $(A, M) \rightarrow (B, N)$ of the form (u, f) , given by $u : A \rightarrow B$ and $f : M \rightarrow u_* N$. To construct f , recall we have $u : \mathrm{Spec}(B) \rightarrow \mathrm{Spec}(A)$ which gives rise to an adjunction

$$\begin{array}{c} \mathbf{Mod}(A) \\ u^* \updownarrow u_* \\ \mathbf{Mod}(B) \end{array} \quad (3.8)$$

and therefore $f : M \rightarrow u_* N$ is dual to $f^\# : u^* M \cong M \otimes_A B \rightarrow N$. Now there is a forgetful functor

$$\begin{aligned} U : \mathbf{Mod}(\mathbf{Ab}) &\rightarrow \mathbf{CMon}(\mathbf{Ab}) \\ (A, M) &\mapsto A \\ (u, f) &\mapsto u \end{aligned}$$

Then one can check that

- $(u, f) : (A, M) \rightarrow (B, N)$ is U -Cartesian if and only if $f : M \rightarrow u_* N$ is an isomorphism of A -modules, and
- $(u, f) : (A, M) \rightarrow (B, N)$ is U -Cocartesian if and only if $f^\#$ is an isomorphism of B -modules.

This notion can be generalized to the ∞ -groupoid $\mathbf{Ab}^{\mathrm{ani}} = \mathbf{Fun}^\times(\mathbf{Free}_\mathbb{Z}, \mathbf{Ab})$.

Example 3.9. Consider the target map

$$\begin{aligned} d_0 : \mathcal{C}^{\Delta^1} &\rightarrow \mathcal{C} \\ (\alpha : x \rightarrow y) &\mapsto y \end{aligned}$$

and which sends $f = (f_0, f_1)$ as a diagram

$$\begin{array}{ccc} x & \xrightarrow{\alpha} & y \\ f_0 \downarrow & & \downarrow f_1 \\ x' & \xrightarrow{\alpha'} & y' \end{array}$$

to $f_1 : y \rightarrow y'$. In this case,

- f is d_0 -Cocartesian if and only if $f_1 = d_0 f : y \xrightarrow{\sim} y'$ in \mathcal{C} , and
- suppose \mathcal{C} has pullbacks, then f is d_0 -Cartesian if and only if f is a pullback square.

Theorem 3.10 (Straightening-unstraightening Equivalence/Grothendieck-Lurie Correspondence). For any $\mathcal{C} \in \mathbf{qCat}$, we have an adjunction given by straightening functor and unstraightening functor

$$\begin{array}{c} \mathbf{Cat}_{\infty/\mathcal{C}}^{\mathrm{Cocart}} \\ \mathrm{St} \updownarrow \mathrm{un} = \int_{\mathcal{C}} \\ \mathbf{Fun}(\mathcal{C}, \mathbf{Cat}_\infty) \end{array} \quad (3.11)$$

where the straightening functor is defined over any Cocartesian fibration $\mathcal{E} \rightarrow \mathcal{C}$ via

$$\begin{aligned} \mathrm{St} : \mathbf{Fun}(\mathcal{C}, \mathbf{Cat}_\infty) &\rightarrow \mathbf{Cat}_{\infty/\mathcal{C}}^{\mathrm{Cocart}} \\ (\mathcal{E} \rightarrow \mathcal{C}) &\mapsto (c \mapsto \mathcal{E}_c) \end{aligned}$$

and the unstraightening functor is an end. There is also a dual version of this equivalence given by

$$\begin{array}{c} \mathbf{Cat}_{\infty/\mathcal{C}}^{\text{Cart}} \\ \text{St} \updownarrow \text{un} = \int^{\mathcal{C}} \\ \mathbf{Fun}(\mathcal{C}^{\text{op}}, \mathbf{Cat}_{\infty}) \end{array} \quad (3.12)$$

Definition 3.13. Let $f : X \rightarrow S$ be a morphism in \mathbf{sSet} , then we say f is a left fibration if it has the right lifting property with respect to all horn inclusions $\Lambda_k^n \rightarrow \Delta^n$ except possibly the right outer ones, i.e., for all $0 \leq k < n$. Similarly, it is a right fibration if it extends against all horns except possibly the left outer ones, i.e., for all $0 < k \leq n$.

Remark 3.14. $f : X \rightarrow S$ is a left fibration if and only if there exists a lift in the commutative diagram

$$\begin{array}{ccc} \Lambda_k^n & \longrightarrow & X \\ \downarrow & \nearrow \exists & \downarrow \\ \Delta^n & \longrightarrow & S \end{array} \quad (3.15)$$

for any $n \in \mathbb{N}$ and $0 \leq k < n$.

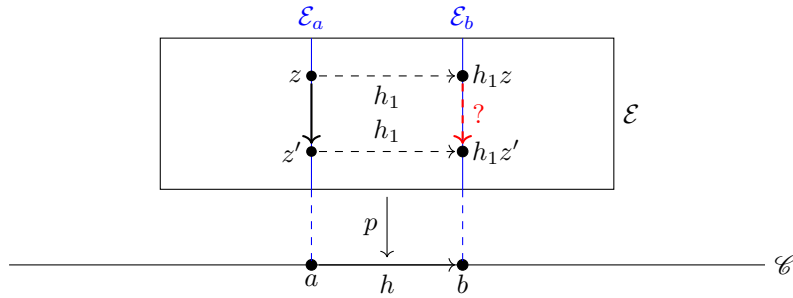
Remark 3.16. Let $\mathbf{LFib}(\mathcal{C})$ be the category of left fibrations of \mathcal{C} , then there is a straightening-unstraightening adjunction given by

$$\begin{array}{c} \mathbf{LFib}(\mathcal{C}) \\ \text{St} \updownarrow \text{un} = \int_{\mathcal{C}} \\ \mathbf{Fun}(\mathcal{C}, \mathcal{S}) \end{array} \quad (3.17)$$

Remark 3.18. Say $p : \mathcal{E} \rightarrow \mathcal{C}$ is a Cocartesian fibration, then we have

$$\begin{aligned} \text{St}(p) : \mathcal{C} &\rightarrow \mathbf{Cat}_{\infty} \\ (h : a \rightarrow b) &\mapsto (\mathcal{E}_a \rightarrow \mathcal{E}_b) \end{aligned}$$

where $\mathcal{E}_a = p^{-1}(a)$. Given any $z \rightarrow z'$, we know it descend to the identity map on u via p . However, we need to study what $h_1 z \rightarrow h_1 z'$ looks like, so that the involved square commutes.



Since $z \mapsto h_1 z$ is Cocartesian, then there exists a lift giving $h_1 f : h_z \rightarrow h_1 z'$, as desired.

Example 3.19. In light of [Example 3.7](#), if (U, f) is U -Cartesian, then by [Theorem 3.10](#) we obtain

$$\begin{array}{ccc} \mathbf{CMon}(\mathbf{Ab})^{\text{op}} & \longrightarrow & \mathbf{Cat}_{\infty} \\ A & \longmapsto & \mathbf{Mod}(A) \\ u \downarrow & & \uparrow f = u_* \\ B & \longmapsto & \mathbf{Mod}(B) \end{array}$$

Similarly, if (U, f) is U -Cocartesian, then we obtain

$$\mathbf{CMon}(\mathbf{Ab}) \longrightarrow \mathbf{Cat}_\infty$$

$$\begin{array}{ccc} A & \longrightarrow & \mathbf{Mod}(A) \\ u \downarrow & & \downarrow f^\# = - \otimes_A B \\ B & \longrightarrow & \mathbf{Mod}(B) \end{array}$$

by [Theorem 3.10](#).

Example 3.20. In light of [Example 3.9](#), consider $p : \mathcal{C}^{\Delta^1} \rightarrow \mathcal{C}$ where \mathcal{C} has fiber products and pullbacks, then

- as a Cocartesian fibration, we obtain

$$\mathcal{C} \longrightarrow \mathbf{Cat}_\infty$$

$$\begin{array}{ccc} c & \longrightarrow & \mathcal{C}/c \\ \alpha \downarrow & & \downarrow \\ c' & \longrightarrow & \mathcal{C}/c' \end{array}$$

via straightening;

- as a Cartesian fibration, we obtain

$$\mathcal{C}^{\text{op}} \longrightarrow \mathbf{Cat}_\infty$$

$$\begin{array}{ccc} c & \longrightarrow & \mathcal{C}/c \\ \alpha \downarrow & & \uparrow \\ c' & \longrightarrow & \mathcal{C}/c' \end{array}$$

via straightening.

Example 3.21. For $C \in \mathbf{sSet} = \mathbf{Fun}(\Delta^{\text{op}}, \mathbf{Set})$. Recall that any set can be described as a discrete category, then from C we obtain a functor

$$\begin{aligned} \Delta^{\text{op}} &\mapsto \mathcal{S} \\ [n] &\mapsto C_n \end{aligned}$$

Therefore, we have a category $\mathbf{LFib}/_{\Delta^{\text{op}}}$ of functors $\Delta^{\text{op}} \rightarrow \Delta^{\text{op}}$.

Proposition 3.22. Let $B \in \mathcal{S} \subseteq \mathbf{Cat}_\infty$, then the unstraightening functor is involved in a commutative diagram

$$\begin{array}{ccc} \mathbf{Fun}(B, \mathcal{S}) & \xrightarrow[\sim]{\text{Un}} & \mathcal{S}/B \\ & \searrow \text{Un} \quad \nearrow \sim & \\ & \mathbf{LFib}(B) & \end{array}$$

4 KAN EXTENSIONS

Consider a functor $F : \mathcal{C} \rightarrow \mathcal{E}$ and an inclusion of subcategory $p : \mathcal{C} \hookrightarrow \mathcal{D}$, then we want to find a functor $G : \mathcal{D} \rightarrow \mathcal{E}$ such that the diagram

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{E} \\ & \searrow p & \nearrow R \\ & \mathcal{D} & \end{array}$$

commutes. Unfortunately, this is not possible in general, but it is not possible to find an approximation as a substitute, by constructing a Kan extension.

Definition 4.1. A right Kan extension of F along p is a functor $R : \mathcal{D} \rightarrow \mathcal{E}$ with a natural transformation $\alpha : R \circ p \Rightarrow F$, such that for any $d : \mathcal{D}_0$,

$$R(d) \longrightarrow R \circ p \circ j_d \xrightarrow{\alpha \circ j_d} F \circ j_d$$

is a limit diagram, where $j_d : \mathcal{C}_{d/} \rightarrow \mathcal{C}$ is defined by a pullback diagram

$$\begin{array}{ccccc} \mathcal{C}_{d/} & \xrightarrow{j_d} & \mathcal{C} & \xrightarrow{F} & \mathcal{E} \\ & \searrow \gamma & \downarrow & \nearrow \alpha & \\ \Delta^0 & \xrightarrow{d} & \mathcal{D} & \xrightarrow{R=\text{Ran}_p(F)} & \mathcal{E} \end{array}$$

Dually, a left Kan extension $L = \text{Lan}_p(F)$ is determined by the diagram

$$\begin{array}{ccccc} \mathcal{C}_{d/} & \xrightarrow{j_d} & \mathcal{C} & \xrightarrow{F} & \mathcal{E} \\ & \searrow \gamma & \downarrow & \nearrow \beta & \\ \Delta^0 & \xrightarrow{d} & \mathcal{D} & \xrightarrow{R=\text{Ran}_p(F)} & \mathcal{E} \end{array}$$

Remark 4.2. In general, $\alpha : R \circ p \Rightarrow F$ and $\beta : F \Rightarrow L \circ p$ are not equivalences. However, if $p : \mathcal{C} \hookrightarrow \mathcal{D}$ is a full embedding, then it is actually an equivalence.

With left and right Kan extensions, we determine a diagram

$$\begin{array}{ccccc} & & \mathcal{C} & & \\ & \swarrow p & \downarrow F & \searrow p & \\ \mathcal{D} & \xleftarrow{\beta} & & \xleftarrow{\alpha} & \mathcal{D} \\ & \swarrow \text{Lan}_p(F) & \downarrow & \searrow \text{Ran}_p(F) & \\ & & \mathcal{E} & & \end{array}$$

Remark 4.3. $(L, \beta : F \Rightarrow L \circ p)$ is a left Kan extension if and only if $(L^{\text{op}}, \beta^{\text{op}} : L^{\text{op}} \circ p^{\text{op}} \Rightarrow F^{\text{op}} \Rightarrow F^{\text{op}})$ is a right Kan extension.

Remark 4.4. If $p : \mathcal{C} \rightarrow \Delta^0 = \mathcal{D}$, then

$$\text{Ran}_p(F) \simeq \lim(F) : \Delta^0 \rightarrow \mathcal{E}$$

is just a limit, and similarly $\text{Lan}_p(F) \simeq \text{colim}(F)$ is a colimit.

Remark 4.5. Denote $L = \text{Lan}_p(F) = p_! F$, then $(q \circ p)_! \simeq q_! \circ p_!$.

Therefore, we have

$$\begin{array}{ccc}
 \mathcal{C} & \xrightarrow{F} & \mathcal{E} \\
 p \downarrow & \nearrow p_! F & \\
 \mathcal{D} & & \\
 q \downarrow & \nearrow & \\
 \Delta^0 & &
 \end{array}
 \quad \text{colim}(p_! F) \simeq \text{colim}(F)$$

Remark 4.6. Let $(R, R \circ p \Rightarrow F)$ be a right Kan extension of F along p , then it is invertible upon replacing $\mathcal{C}, \mathcal{D}, \mathcal{E}$ by (categorical) equivalent categories, p and F by isomorphic functors, and α by homotopic natural isomorphisms.

Remark 4.7. We have an adjunction triple

$$\begin{array}{c}
 \mathbf{Fun}(\mathcal{D}, \mathcal{E}) \\
 p_! = \text{Lan}_p(-) \uparrow \quad \downarrow p^* \quad p_* = \text{Ran}_p(-) \\
 \mathbf{Fun}(\mathcal{C}, \mathcal{E})
 \end{array}
 \quad (4.8)$$

Proposition 4.9 (Universal Property of Left Kan Extensions). $(L, \beta : F \Rightarrow L \circ p)$ is a left Kan extension of F along p if and only if for any $G : \mathcal{D} \rightarrow \mathcal{E}$, we have a commutative diagram

$$\begin{array}{ccc}
 \text{Hom}_{\mathbf{Fun}(\mathcal{D}, \mathcal{E})}(L, G) & \xrightarrow{p^*} & \text{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathcal{E})}(L \circ p,) \\
 \searrow \simeq & & \swarrow - \circ [\beta] \\
 & \text{Hom}_{\mathbf{Fun}(\mathcal{C}, \mathcal{E})}(F, G \circ p) &
 \end{array}$$

Therefore, the universal property originates as in

$$\begin{array}{ccc}
 \mathcal{C} & \xrightarrow{F} & \mathcal{E} \\
 p \searrow & \beta \parallel & \nearrow L \\
 & \mathcal{D} & \\
 & \nearrow G &
 \end{array}
 \quad \exists!$$

Proposition 4.10. Suppose we have an adjunction

$$\begin{array}{c}
 \mathcal{D} \\
 p \uparrow \quad \downarrow q \\
 \mathcal{C}
 \end{array}
 \quad (4.11)$$

then there are induced mappings

$$\text{Lan}_p(-) \simeq q^* : \text{Fun}(\mathcal{C}, \mathcal{E}) \rightarrow \text{Fun}(\mathcal{D}, \mathcal{E})$$

and

$$\text{Ran}_q(-) \simeq p^* : \text{Fun}(\mathcal{D}, \mathcal{E}) \rightarrow \text{Fun}(\mathcal{C}, \mathcal{E})$$

A NOTATIONS OF CATEGORIES

- **Cat**: 1-category of 1-categories.
- $\mathbb{F} = \mathbf{FinSet}$: category of finite sets.
- \mathbf{FinSet}/K : slice category of \mathbf{FinSet} over $K \in \mathbb{F}$.
- $\mathbf{Span}(\mathbb{F})$: category of span of \mathbb{F} .
- $\mathbf{Bispan}(\mathbb{F})$: category of bispan of \mathbb{F} .
- $\mathbf{Cospan}(\mathbb{F})$: category of cospan of \mathbb{F} .
- **sSet**: 1-category of simplicial sets.
- **qCat**: 1-category of $(\infty, 1)$ -categories.
- **CAT**: 2-category of categories.
- \mathcal{C}_c : slice category of $(\infty, 1)$ -category \mathcal{C} over $c \in \mathcal{C}$.
- $\mathcal{C}_c/$: coslice category of $(\infty, 1)$ -category \mathcal{C} under $c \in \mathcal{C}$.
- $\mathcal{C} \star \mathcal{D}$: (1-category) join of 1-categories \mathcal{C} and \mathcal{D} .
- \mathcal{S} : $(\infty, 1)$ -category of (small) spaces (anima/ ∞ -groupoids).
- **Kan**: $(\infty, 1)$ -category of Kan complexes.
- \mathbf{Cat}_∞ : $(\infty, 1)$ -category of $(\infty, 1)$ -categories.
- $\mathbf{Cat}_{\infty/S}$: $(\infty, 1)$ -category of $(\infty, 1)$ -categories over $S \in \mathbf{qCat}$.
- $\mathbf{Cat}_{\infty/S}^{\text{Cart}}$: $(\infty, 1)$ -category of Cartesian fibrations over $S \in \mathbf{qCat}$.
- $\mathbf{Cat}_{\infty/S}^{\text{Cocart}}$: $(\infty, 1)$ -category of Cocartesian fibrations over $S \in \mathbf{qCat}$.
- $\mathbf{LFib}(\mathcal{C})$: category of left fibrations of \mathcal{C} .
- $\mathbf{RFib}(\mathcal{C})$: category of right fibrations of \mathcal{C} .

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