

Categorifying Spectra

Jiantong Liu

October 30, 2024

Categorification

Definition

Let A be an abelian group. **Categorifying an invariant** valued in A means finding a stable ∞ -category \mathcal{C}_A with $K_0(\mathcal{C}_A) \simeq A$ such that the given invariant lifts to functor valued in \mathcal{C}_A .

Example

Let $A = \mathbb{Q}$. [BGH⁺19] proved a categorification of rationalization, i.e., for any stable ∞ -category \mathcal{C} and a set of primes $S \subseteq \mathbb{Z}$, one can construct a stable ∞ -category $S^{-1}\mathcal{C}$ such that

$$K(S^{-1}\mathcal{C}) \simeq S^{-1}K(\mathcal{C}).$$

Main Results

Theorem (A)

Every spectrum is the K -theory of a stable ∞ -category: for every spectrum M , there exists a small idempotent-complete stable ∞ -category \mathcal{C}_M such that

$$K(\mathcal{C}_M) \simeq M,$$

where K denotes the non-connective K -theory spectrum, and the assignment is functorial in M .

Corollary

Every abelian group is of the form $K_0(\mathcal{C})$ for some $\mathcal{C} \in \text{Cat}_{\infty}^{\text{perf}}$.

Theorem (B)

The non-connective theorem of the heart is false in general.

Localizing Invariants

Definition

Consider the diagram

$$\mathcal{A} \xrightarrow{f} \mathcal{B} \xrightarrow{g} \mathcal{C}$$

in $\text{Cat}_{\infty}^{\text{st}}$.

It is **Karoubi-Verdier** (KV) if f is fully faithful, $g \circ f$ is trivial, and the induced functor $\mathcal{B}/\mathcal{A} \rightarrow \mathcal{C}$ is an equivalence up to idempotent completion.

A KV sequence is **Verdier** if g is essentially surjective, and the essential image of f is closed under retracts.

Localizing Invariants

Definition

Let \mathcal{E} be a stable ∞ -category, and let $E : \text{Cat}_{\infty}^{\text{perf}} \rightarrow \mathcal{E}$ be a functor.

- We say E is a **localizing invariant** if for any KV sequence $\mathcal{A} \rightarrow \mathcal{B} \rightarrow \mathcal{C}$ in $\text{Cat}_{\infty}^{\text{perf}}$, the sequence $E(\mathcal{A}) \rightarrow E(\mathcal{B}) \rightarrow E(\mathcal{C})$ is a fiber sequence.
- Suppose in addition that \mathcal{E} is cocomplete. We say E is **finitary** if it preserves filtered colimits. There is a subcategory $\text{Fun}^{\text{loc, fin}}(\text{Cat}_{\infty}^{\text{perf}}, \mathcal{E})$ of $\text{Fun}(\text{Cat}_{\infty}^{\text{perf}}, \mathcal{E})$ of finitary localizing invariants.

Example

The non-connective K -theory functor $K : \text{Cat}_{\infty}^{\text{perf}} \rightarrow \text{Sp}$ is a finitary localizing invariant.

Comparison with [BGT13], [CDH⁺20], and [Sau23]

[CDH ⁺ 20]	[Sau23]	[RSW24]	[BGT13]
Karoubi sequence		KV sequence	Exact sequence
Verdier sequence			Strict-exact sequence

All notions are defined over $\text{Cat}_{\infty}^{\text{ex}}$ or equivalently $\text{Cat}_{\infty}^{\text{st}}$. [RSW24] follows the definitions in [BGT13], while [Sau23] mostly follows the definitions in [CDH⁺20]. The equivalences are proven in Proposition A.3.7 and Corollary A.1.10 of [CDH⁺20].

Comparison with [BGT13], [CDH⁺20], and [Sau23]

[CDH ⁺ 20]	[Sau23]	[RSW24]	[BGT13]
Karoubi sequence		KV sequence	Exact sequence
Verdier sequence			Strict-exact sequence

All notions are defined over $\text{Cat}_\infty^{\text{ex}}$ or equivalently $\text{Cat}_\infty^{\text{st}}$. [RSW24] follows the definitions in [BGT13], while [Sau23] mostly follows the definitions in [CDH⁺20]. The equivalences are proven in Proposition A.3.7 and Corollary A.1.10 of [CDH⁺20]. Localizing invariant had been defined differently among these sources.

- [BGT13] defines it over $E : \text{Cat}_\infty^{\text{ex}} \rightarrow \mathcal{E}$ where \mathcal{E} is stable presentable, and **assumes E to be finitary** in addition.
- [Sau23] defines a more general notion called Karoubi localizing over “Karoubi squares”.
- [CDH⁺20] restricts the definition of [Sau23] to the context of Poincaré categories.

Stable K -theory

Let A be a ring. The Dennis trace map

$$\begin{array}{ccc} K(A) & \xrightarrow{\text{tr}} & \text{HH}(A) \\ & \searrow & \nearrow \\ & K^S(A) & \end{array}$$

factors through a universal homology theory, called the stable K -theory.

Stable K -theory

Let A be a ring. The Dennis trace map

$$\begin{array}{ccc} K(A) & \xrightarrow{\text{tr}} & \text{HH}(A) \\ & \searrow & \nearrow \\ & K^S(A) & \end{array}$$

factors through a universal homology theory, called the stable K -theory. Looking for an analogy of this diagram on the level of \mathbb{S} -algebra, one studies “ $\text{THH}(A) := \text{HH}(A/\mathbb{S})$ ”.

Stable K -theory

Let A be a ring. The Dennis trace map

$$\begin{array}{ccc} K(A) & \xrightarrow{\text{tr}} & \text{HH}(A) \\ & \searrow & \nearrow \\ & K^S(A) & \end{array}$$

factors through a universal homology theory, called the stable K -theory. Looking for an analogy of this diagram on the level of \mathbb{S} -algebra, one studies “ $\text{THH}(A) := \text{HH}(A/\mathbb{S})$ ”.

Theorem (Dundas-McCarthy, [DM94])

For any simplicial ring R and simplicial R -bimodule M , there is a natural weak homotopy equivalence between $K^S(R, M)$ and $\text{THH}(R; M)$.

Goal: establish an analogous result for non-connective K -theory.

Bimodules

Definition

Let \mathcal{C} be a small stable ∞ -category. A \mathcal{C} -bimodule T is an exact functor $\mathcal{C} \rightarrow \text{Ind}(\mathcal{C})$.

In particular, T gives rise to a colimit-preserving functor

$$T : \text{Ind}(\mathcal{C}) \rightarrow \text{Ind}(\mathcal{C}).$$

Example

Let R be a ring spectrum and M be an R -bimodule, then

$$M \otimes_R - : \text{Perf}_R \rightarrow \text{Ind}(\text{Perf}_R)$$

is a Perf_R -bimodule.

Twisted Endomorphism

Definition

Let \mathcal{C} be a small stable ∞ -category, and $T : \mathcal{C} \rightarrow \text{Ind}(\mathcal{C})$ be a \mathcal{C} -bimodule. The ∞ -category $\text{End}(\mathcal{C}; T)$ of twisted endomorphisms is the lax equalizer

$$\mathcal{C} \begin{array}{c} \xrightarrow{\mathcal{J}} \\ \xrightarrow{T} \end{array} \text{Ind}(\mathcal{C})$$

That is, $\text{End}(\mathcal{C}; T)$ is the pullback of the cospan

$$\begin{array}{ccc} & \text{Fun}(\Delta^1, \text{Ind}(\mathcal{C})) & \\ & \downarrow (s,t) & \\ \mathcal{C} & \xrightarrow[(\mathcal{J}, T)]{} & \text{Ind}(\mathcal{C}) \times \text{Ind}(\mathcal{C}) \end{array}$$

whose objects are pairs $(x, f : x \rightarrow Tx)$ where $x \in \mathcal{C}$, and the morphisms are the corresponding commutative squares.

Important Remark

Fix A to be a ring spectrum and M to be an A -bimodule. Consider $\mathcal{C} = \text{Perf}_A$ and $T = \Sigma M \otimes_A -$.

$$\text{Perf}_{A \oplus M} \rightarrow \text{End}(\text{Perf}_A; \Sigma M \otimes_A -)$$

is a fully faithful embedding whose essential image consists of nilpotent twisted endomorphisms. More explicitly, an element in the essential image is a pair $(P, P \rightarrow \Sigma M \otimes_A P)$ such that for $n \gg 0$, the composite

$$P \rightarrow \Sigma M \otimes_A P \rightarrow \Sigma^2 M^{\otimes_A 2} \otimes_A P \rightarrow \dots \rightarrow \Sigma^n M^{\otimes_A n} \otimes_A P$$

is null.

Important Remark

Fix A to be a ring spectrum and M to be an A -bimodule. Consider $\mathcal{C} = \text{Perf}_A$ and $T = \Sigma M \otimes_A -$.

$$\text{Perf}_{A \oplus M} \rightarrow \text{End}(\text{Perf}_A; \Sigma M \otimes_A -)$$

is a fully faithful embedding whose essential image consists of nilpotent twisted endomorphisms. More explicitly, an element in the essential image is a pair $(P, P \rightarrow \Sigma M \otimes_A P)$ such that for $n \gg 0$, the composite

$$P \rightarrow \Sigma M \otimes_A P \rightarrow \Sigma^2 M^{\otimes_A 2} \otimes_A P \rightarrow \dots \rightarrow \Sigma^n M^{\otimes_A n} \otimes_A P$$

is null. In the case where A and M are connective, the said embedding is an equivalence.

Fiber of Retraction

Let \mathcal{C} be a small idempotent-complete stable ∞ -category, and T be a \mathcal{C} -bimodule. The inclusion

$$\begin{aligned} i : \mathcal{C} &\hookrightarrow \text{End}(\mathcal{C}; T) \\ x &\mapsto (x, 0 : x \rightarrow Tx) \end{aligned}$$

admits a retraction

$$\begin{aligned} r : \text{End}(\mathcal{C}; T) &\rightarrow \mathcal{C} \\ (x, x \rightarrow Tx) &\mapsto x. \end{aligned}$$

Fiber of Retraction

Let \mathcal{C} be a small idempotent-complete stable ∞ -category, and T be a \mathcal{C} -bimodule. The inclusion

$$\begin{aligned} i : \mathcal{C} &\hookrightarrow \text{End}(\mathcal{C}; T) \\ x &\mapsto (x, 0 : x \rightarrow Tx) \end{aligned}$$

admits a retraction

$$\begin{aligned} r : \text{End}(\mathcal{C}; T) &\rightarrow \mathcal{C} \\ (x, x \rightarrow Tx) &\mapsto x. \end{aligned}$$

For a stable ∞ -category \mathcal{E} , consider the functor $E : \text{Cat}_{\infty}^{\text{perf}} \rightarrow \mathcal{E}$. Define $\tilde{E}(C; T) := \text{cofib}(E(i))$ for $E(i) : E(\mathcal{C}) \rightarrow E(\text{End}(\mathcal{C}; T))$, therefore it is a direct summand of $E(\text{End}(\mathcal{C}; T))$, so equivalently, it is the fiber of the retraction.

Main Interest

Let A be a ring spectrum and M be an A -bimodule.

Main Interest

Let A be a ring spectrum and M be an A -bimodule. The proof of our Dundas-McCarthy Theorem requires us to consider the case where $A = \mathbb{S}$ and $M = \Sigma^n \mathbb{S}$ for some n .

Main Interest

Let A be a ring spectrum and M be an A -bimodule. The proof of our Dundas-McCarthy Theorem requires us to consider the case where $A = \mathbb{S}$ and $M = \Sigma^n \mathbb{S}$ for some n .

In the case where $\mathcal{C} = \mathrm{Sp}^\omega = \mathrm{Perf}_{\mathbb{S}}$ and $T = M \otimes -$, we abbreviate $\tilde{E}(\mathrm{End}(\mathrm{Sp}^\omega; M)) := \tilde{E}(\mathrm{End}(\mathrm{Sp}^\omega; M \otimes -))$.

Main Interest

Let A be a ring spectrum and M be an A -bimodule. The proof of our Dundas-McCarthy Theorem requires us to consider the case where $A = \mathbb{S}$ and $M = \Sigma^n \mathbb{S}$ for some n .

In the case where $\mathcal{C} = \mathrm{Sp}^\omega = \mathrm{Perf}_{\mathbb{S}}$ and $T = M \otimes -$, we abbreviate $\tilde{E}(\mathrm{End}(\mathrm{Sp}^\omega; M)) := \tilde{E}(\mathrm{End}(\mathrm{Sp}^\omega; M \otimes -))$.

Theorem (Dundas-McCarthy)

There is a natural equivalence

$$M \simeq \underline{\mathrm{colim}} \Omega^n \tilde{K}(\mathrm{Sp}^\omega; \Sigma^n M),$$

where the forward-direction functor is defined by the Goodwillie derivative
 $P_1 F := \underline{\mathrm{colim}} \Omega^n F(\Sigma^n -)$.

Main Interest

Let A be a ring spectrum and M be an A -bimodule. The proof of our Dundas-McCarthy Theorem requires us to consider the case where $A = \mathbb{S}$ and $M = \Sigma^n \mathbb{S}$ for some n .

In the case where $\mathcal{C} = \mathrm{Sp}^\omega = \mathrm{Perf}_{\mathbb{S}}$ and $T = M \otimes -$, we abbreviate $\tilde{E}(\mathrm{End}(\mathrm{Sp}^\omega; M)) := \tilde{E}(\mathrm{End}(\mathrm{Sp}^\omega; M \otimes -))$.

Theorem (Dundas-McCarthy)

There is a natural equivalence

$$M \simeq \underline{\mathrm{colim}} \Omega^n \tilde{K}(\mathrm{Sp}^\omega; \Sigma^n M),$$

where the forward-direction functor is defined by the Goodwillie derivative $P_1 F := \underline{\mathrm{colim}} \Omega^n F(\Sigma^n -)$.

For a simplicial ring A , this recovers Dundas-McCarthy Theorem in the classical sense.

Proof Sketch

- Note that the functor $\varinjlim \Omega^n \tilde{K}(\mathrm{Sp}^\omega; \Sigma^n -) : \mathrm{Sp} \rightarrow \mathrm{Sp}$ is exact. Since both $K(-)$ and $\mathrm{End}(\mathcal{C}; -) : \mathrm{Fun}_{\mathrm{ex}}(\mathcal{C}, \mathrm{Ind}(\mathcal{C})) \rightarrow \mathrm{Cat}_\infty^{\mathrm{perf}}$ preserve filtered colimits, then so does $\varinjlim \Omega^n \tilde{K}(\mathrm{Sp}^\omega; \Sigma^n -)$.

Proof Sketch

- Note that the functor $\varinjlim \Omega^n \tilde{K}(\mathrm{Sp}^\omega; \Sigma^n -) : \mathrm{Sp} \rightarrow \mathrm{Sp}$ is exact. Since both $K(-)$ and $\mathrm{End}(\mathcal{C}; -) : \mathrm{Fun}_{\mathrm{ex}}(\mathcal{C}, \mathrm{Ind}(\mathcal{C})) \rightarrow \mathrm{Cat}_\infty^{\mathrm{perf}}$ preserve filtered colimits, then so does $\varinjlim \Omega^n \tilde{K}(\mathrm{Sp}^\omega; \Sigma^n -)$.
- To identify such a functor, it suffices to identify the image of \mathbb{S} , i.e., $\varinjlim \Omega^n \tilde{K}(\mathrm{Sp}^\omega; \Sigma^n \mathbb{S})$. But applying our important remark to the case where $A = \mathbb{S}$ and $M = \Sigma^n \mathbb{S}$, it then suffices to understand

$$\varinjlim \Omega^n \tilde{K}(\mathbb{S} \oplus \Sigma^{n-1} \mathbb{S}).$$

In the spirit of Dundas-McCarthy Theorem, this is just \mathbb{S} .

Return to Theorem (A)

Theorem (A)

Every spectrum is the K -theory of a stable ∞ -category: for every spectrum M , there exists a small idempotent-complete stable ∞ -category \mathcal{C}_M such that

$$K(\mathcal{C}_M) \simeq M,$$

where K denotes the non-connective K -theory spectrum, and the assignment is functorial in M .

Return to Theorem (A)

Theorem (A)

Every spectrum is the K -theory of a stable ∞ -category: for every spectrum M , there exists a small idempotent-complete stable ∞ -category \mathcal{C}_M such that

$$K(\mathcal{C}_M) \simeq M,$$

where K denotes the non-connective K -theory spectrum, and the assignment is functorial in M .

- We show that the suspension, loops, and certain cofibers of K -theory spectrum can be categorified, i.e., naturally lifted to constructions on the categorical level.
- Apply Dundas-McCarthy theorem and performs the above constructions at the categorical level.

Categorification

Definition

Let \mathcal{C} be a small stable ∞ -category, then we define $\text{Calk}(\mathcal{C})$ to be the ω_1 -small Calkin category of \mathcal{C} , that is, the idempotent completion of the Verdier quotient of the Yoneda embedding $\mathcal{C} \rightarrow \text{Ind}(\mathcal{C})^{\omega_1}$.

Categorification

Definition

Let \mathcal{C} be a small stable ∞ -category, then we define $\mathrm{Calk}(\mathcal{C})$ to be the ω_1 -small Calkin category of \mathcal{C} , that is, the idempotent completion of the Verdier quotient of the Yoneda embedding $\mathcal{C} \rightarrow \mathrm{Ind}(\mathcal{C})^{\omega_1}$.

Since $\mathrm{Ind}(\mathcal{C})^{\omega_1}$ admits an Eilenberg swindle, then in particular $K(\mathrm{Ind}(\mathcal{C})^{\omega_1}) \simeq 0$. In particular,

Proposition

the functor Calk categorifies the suspension. That is, for any small idempotent complete stable ∞ -category \mathcal{C} , $K(\mathrm{Calk}(\mathcal{C})) \simeq \Sigma K(\mathcal{C})$.

Categorification

Definition

Let \mathcal{C} be a small stable ∞ -category, then we define $\mathrm{Calk}(\mathcal{C})$ to be the ω_1 -small Calkin category of \mathcal{C} , that is, the idempotent completion of the Verdier quotient of the Yoneda embedding $\mathcal{C} \rightarrow \mathrm{Ind}(\mathcal{C})^{\omega_1}$.

Since $\mathrm{Ind}(\mathcal{C})^{\omega_1}$ admits an Eilenberg swindle, then in particular $K(\mathrm{Ind}(\mathcal{C})^{\omega_1}) \simeq 0$. In particular,

Proposition

the functor Calk categorifies the suspension. That is, for any small idempotent complete stable ∞ -category \mathcal{C} , $K(\mathrm{Calk}(\mathcal{C})) \simeq \Sigma K(\mathcal{C})$.

Theorem

Γ also categorifies loops. That is, there exists a functor $\Gamma : \mathrm{Cat}_{\infty}^{\mathrm{perf}} \rightarrow \mathrm{Cat}_{\infty}^{\mathrm{perf}}$ such that there is a canonical equivalence

$$K(\Gamma\mathcal{C}) \simeq \Omega K(\mathcal{C}).$$

Categorification

Let $F\mathcal{C} \subseteq \text{Fun}(\mathbb{N}, \mathcal{C})$ be the full subcategory of filtered objects in \mathcal{C} which stabilize after finitely many steps, and let $F^q\mathcal{C} \subseteq F\mathcal{C}$ be the full subcategory of filtered object which stabilize at 0. This defines a grading functor $\text{gr} : F\mathcal{C} \rightarrow \bigoplus_{\mathbb{N}} \mathcal{C}$. Define pullback diagrams

$$\begin{array}{ccc} B\mathcal{C} & \longrightarrow & F\mathcal{C} \\ \downarrow & & \downarrow \\ F\mathcal{C} & \longrightarrow & \bigoplus_{\mathbb{N}} \mathcal{C} \end{array}$$

$$\begin{array}{ccc} B^q\mathcal{C} & \longrightarrow & F^q\mathcal{C} \\ \downarrow & & \downarrow \\ F^q\mathcal{C} & \longrightarrow & \bigoplus_{\mathbb{N}} \mathcal{C} \end{array}$$

$$\begin{array}{ccc} B^t\mathcal{C} & \longrightarrow & F^q\mathcal{C} \\ \downarrow & & \downarrow \\ F\mathcal{C} & \longrightarrow & \bigoplus_{\mathbb{N}} \mathcal{C} \end{array}$$

The diagonal functor gives rise to $\Delta : F \Rightarrow B$ and $\Delta^q : F^q \Rightarrow B^q$. Since $\text{cofib}(K(\Delta^q)) \simeq \Omega K(\mathcal{C})$, it suffices to prove that

Categorification

the cofiber of K-theory maps can be categorified.

Proposition

Let $F : \mathcal{C} \rightarrow \mathcal{D}$ be an exact functor of small stable ∞ -categories, then there exists a fully faithful exact functor $G : \mathcal{C} \rightarrow \mathcal{D}'$ and an exact functor $P : \mathcal{D}' \rightarrow \mathcal{D}$ such that $P \circ G \simeq F$ and P fits into a right-split Verdier sequence

$$\mathrm{Ind}(\mathcal{C})^{\omega_1} \longrightarrow \mathcal{D}' \xrightarrow{P} \mathcal{D}$$

In particular,

- such factorization can be chosen functorially in F , and
- there exists a small stable ∞ -category $\mathrm{Cone}(F)$ and an exact functor $\mathcal{D} \rightarrow \mathrm{Cone}(F)$ that induces an equivalence

$$\mathrm{cofib}(K(F) : K(\mathcal{C}) \rightarrow K(\mathcal{D})) \simeq K(\mathrm{Cone}(F)).$$

Categorification

Theorem

The unit equivalence $\eta : x \xrightarrow{\cong} \Omega\Sigma x$ can be categorified as well. That is, there exists a natural functor $\mathcal{C} \rightarrow \Gamma \text{Calk}(\mathcal{C})$ that induces the unit equivalence $K(\mathcal{C}) \simeq \Omega\Sigma K(\mathcal{C})$, under the aforementioned categorifications.

Let \mathcal{SC} be the Verdier quotient of $\text{Ind}(\mathcal{C})^{\omega_1}$ by \mathcal{C} . There is a commutative diagram of KV sequences

$$\begin{array}{ccccc}
 \mathcal{C} & \xrightarrow{\mathfrak{k}} & \text{Ind}(\mathcal{C})^{\omega_1} & \longrightarrow & \mathcal{SC} \\
 \tau_0 \downarrow & & \downarrow \tau & & \downarrow \bar{\tau} \\
 B^q \mathcal{SC} & \longrightarrow & B^t \mathcal{SC} & \longrightarrow & B^t \mathcal{SC} / B^q \mathcal{SC} \\
 \text{id} \downarrow & & \downarrow & & \downarrow \\
 B^q \mathcal{SC} & \longrightarrow & B \mathcal{SC} & \longrightarrow & B \mathcal{SC} / B^q \mathcal{SC} \\
 \tau \downarrow & & \downarrow \tau & & \downarrow \tau \\
 F^q \mathcal{SC} & \longrightarrow & F \mathcal{SC} & \longrightarrow & F \mathcal{SC} / F^q \mathcal{SC}
 \end{array}$$

Categorification

Applying the previous proposition, we may show that

$$\mathcal{C} \xrightarrow{\tau_0} B^q S\mathcal{C} \longrightarrow \text{Cone}(\Delta_{S\mathcal{C}})$$

induces an equivalence by applying K -theory functor. Since K -theory is invariant under idempotent completion, then the natural functor $\text{Cone}(\Delta_{S\mathcal{C}}) \rightarrow \text{Cone}(\Delta_{\text{Calk}(\mathcal{C})})$ induces an equivalence after apply K -theory. Therefore, we define

$$\text{id} \xRightarrow{\tau_0} B^q S(-) \Rightarrow \text{Cone}(\Delta_{S(-)}) \Rightarrow \text{Cone}(\Delta_{\text{Calk}(-)}) \simeq \Gamma \text{Calk}(-)$$

Lemma that Describes the Behavior of Sequential Limits

Consider a sequential diagram $x_0 \xrightarrow{\alpha_0} x_1 \xrightarrow{\alpha_1} x_2 \xrightarrow{\alpha_2} \cdots$ in a stable ∞ -category. Suppose that each α_n admits a factorization

$$x_n \xrightarrow{\varphi_n} x'_n \xrightarrow{\psi_n} x''_n \xrightarrow{\beta_n} x_{n+1}$$

where φ_n and ψ_n are equivalences, then $\underline{\operatorname{colim}} x_n$ is equivalent to the cofiber of

$$\bigoplus_{n \in \mathbb{N}} (x_n \oplus x''_n) \rightarrow \bigoplus_{n \in \mathbb{N}} (x_n \oplus x'_n),$$

represented by the diagram

$$\begin{array}{cccccccccccc}
 x_0 & \oplus & x''_0 & \oplus & x_1 & \oplus & x''_1 & \oplus & x_2 & \oplus & \cdots \\
 \text{id} \downarrow & \searrow^{-\varphi_0} & \downarrow \psi_0^{-1} & \searrow^{-\beta_0} & \text{id} \downarrow & \searrow^{-\varphi_1} & \downarrow \psi_1^{-1} & \searrow^{-\beta_1} & \text{id} \downarrow & \searrow^{-\varphi_2} & \\
 x_0 & \oplus & x''_0 & \oplus & x_1 & \oplus & x''_1 & \oplus & x_2 & \oplus & \cdots
 \end{array}$$

Proof

Define $\gamma_n = \psi_n \varphi_n : x_n \rightarrow x''_n$, then $\bigoplus_{n \in \mathbb{N}} (x_n \oplus x''_n) \rightarrow \bigoplus_{n \in \mathbb{N}} (x_n \oplus x'_n)$ is just represented by the diagram

$$\begin{array}{ccccccccccc}
 x_0 & \oplus & x''_0 & \oplus & x_1 & \oplus & x''_1 & \oplus & x_2 & \oplus & \dots \\
 \text{id} \downarrow & \searrow^{-\gamma_0} & \downarrow \text{id} & \searrow^{-\beta_0} & \downarrow \text{id} & \searrow^{-\gamma_1} & \downarrow \text{id} & \searrow^{-\beta_1} & \downarrow \text{id} & \searrow^{-\gamma_2} & \\
 x_0 & \oplus & x''_0 & \oplus & x_1 & \oplus & x''_1 & \oplus & x_2 & \oplus & \dots
 \end{array}$$

The cofiber is then the colimit of the diagram

$$x_0 \xrightarrow{\gamma_0} x''_0 \xrightarrow{\beta_0} x_1 \xrightarrow{\gamma_1} x''_1 \xrightarrow{\beta_1} x_2 \xrightarrow{\gamma_2} \dots$$

which is just $\varinjlim x_n$.

Proof Sketch of Theorem (A)

Let M be an arbitrary spectrum, then by Dundas-McCarthy Theorem, we identify M to be the colimit

$$\underline{\operatorname{colim}}(\tilde{K}(\operatorname{Sp}^\omega; M) \rightarrow \Omega\tilde{K}(\operatorname{Sp}^\omega; \Sigma M) \rightarrow \Omega^2\tilde{K}(\operatorname{Sp}^\omega; \Sigma^2 M) \rightarrow \cdots).$$

Hence it suffices to identify this colimit as the K -theory of a stable ∞ -category.

Proof Sketch of Theorem (A)

Let M be an arbitrary spectrum, then by Dundas-McCarthy Theorem, we identify M to be the colimit

$$\varinjlim (\tilde{K}(\mathrm{Sp}^\omega; M) \rightarrow \Omega \tilde{K}(\mathrm{Sp}^\omega; \Sigma M) \rightarrow \Omega^2 \tilde{K}(\mathrm{Sp}^\omega; \Sigma^2 M) \rightarrow \cdots).$$

Hence it suffices to identify this colimit as the K -theory of a stable ∞ -category.

The functor we want to study is $\tilde{K}(\mathrm{Sp}^\omega; \Sigma^n M)$, which is the cofiber of

$$K(i_{n-1}) : K(\mathrm{End}(\mathrm{Sp}^\omega; 0)) \rightarrow K(\mathrm{End}(\mathrm{Sp}^\omega; \Sigma^n M))$$

Proof Sketch of Theorem (A)

Let M be an arbitrary spectrum, then by Dundas-McCarthy Theorem, we identify M to be the colimit

$$\operatorname{colim}_{\rightarrow} (\tilde{K}(\mathrm{Sp}^{\omega}; M) \rightarrow \Omega \tilde{K}(\mathrm{Sp}^{\omega}; \Sigma M) \rightarrow \Omega^2 \tilde{K}(\mathrm{Sp}^{\omega}; \Sigma^2 M) \rightarrow \cdots).$$

Hence it suffices to identify this colimit as the K -theory of a stable ∞ -category.

The functor we want to study is $\tilde{K}(\mathrm{Sp}^{\omega}; \Sigma^n M)$, which is the cofiber of

$$K(i_{n-1}) : K(\mathrm{End}(\mathrm{Sp}^{\omega}; 0)) \rightarrow K(\mathrm{End}(\mathrm{Sp}^{\omega}; \Sigma^n M))$$

with commutative square

$$\begin{array}{ccc} \mathrm{End}(\mathrm{Sp}^{\omega}; \Sigma^n M) & \xrightarrow{p_n} & \mathrm{End}(\mathrm{Sp}^{\omega}; 0) \\ \downarrow & & \downarrow \\ \mathrm{End}(\mathrm{Sp}^{\omega}; 0) & \xrightarrow{i_n} & \mathrm{End}(\mathrm{Sp}^{\omega}; \Sigma^{n+1} M) \end{array}$$

Proof Sketch of Theorem (A)

Let M be an arbitrary spectrum, then by Dundas-McCarthy Theorem, we identify M to be the colimit

$$\operatorname{colim}_{\rightarrow} (\tilde{K}(\operatorname{Sp}^{\omega}; M) \rightarrow \Omega \tilde{K}(\operatorname{Sp}^{\omega}; \Sigma M) \rightarrow \Omega^2 \tilde{K}(\operatorname{Sp}^{\omega}; \Sigma^2 M) \rightarrow \cdots).$$

Hence it suffices to identify this colimit as the K -theory of a stable ∞ -category.

The functor we want to study is $\tilde{K}(\operatorname{Sp}^{\omega}; \Sigma^n M)$, which is the cofiber of

$$K(i_{n-1}) : K(\operatorname{End}(\operatorname{Sp}^{\omega}; 0)) \rightarrow K(\operatorname{End}(\operatorname{Sp}^{\omega}; \Sigma^n M))$$

with commutative square

$$\begin{array}{ccc} \operatorname{End}(\operatorname{Sp}^{\omega}; \Sigma^n M) & \xrightarrow{p_n} & \operatorname{End}(\operatorname{Sp}^{\omega}; 0) \\ \downarrow & & \downarrow \\ \operatorname{End}(\operatorname{Sp}^{\omega}; 0) & \xrightarrow{i_n} & \operatorname{End}(\operatorname{Sp}^{\omega}; \Sigma^{n+1} M) \end{array}$$

Rest of the proof: waving your hands frequently to make enough identifications on a categorical level.

How to Wave Your Hands Correctly

Now **the structure maps** of the sequential limit we had can be written down as a composition

$$\begin{array}{ccc}
 \tilde{K}(\mathrm{Sp}^\omega; \Sigma^n M) & \xrightarrow{\star} & \Omega \operatorname{cofib}(K(i_n)) = \Omega \tilde{K}(\mathrm{Sp}^\omega; \Sigma^{n+1} M) \\
 \eta_n \downarrow & & \uparrow f_n \\
 \Omega \Sigma \tilde{K}(\mathrm{Sp}^\omega; \Sigma^n M) & \xrightarrow{v_n} & \Omega \operatorname{cofib}(K(p_n))
 \end{array}$$

where

- η_n is the unit map $\operatorname{id} \simeq \Omega \Sigma$,
- v_n is the inverse of the map induced on the horizontal cofibers of the pullback diagram

$$\begin{array}{ccc}
 K(\mathrm{Sp}^\omega; \Sigma^n M) & \xrightarrow{K(p_n)} & K(\mathrm{Sp}^\omega; 0) \\
 \downarrow & & \downarrow \\
 \tilde{K}(\mathrm{Sp}^\omega; \Sigma^n M) & \longrightarrow & 0
 \end{array}$$

- and f_n is the canonical map induced on cofibers.

How to Wave Your Hands Correctly

By lifting enough times, we construct φ_n , ψ_n , and β_n are required by the lemma before, then there exists a functor F represented by the diagram

$$\begin{array}{ccccccc}
 \widetilde{\text{End}}(\text{Sp}^\omega; M) & \oplus & \Gamma \text{Cone}(p_0) & \oplus & \widetilde{\text{End}}(\text{Sp}^\omega; \Sigma M) & \oplus & \text{Cone}(p_1) & \oplus & \dots \\
 \text{id} \downarrow & \searrow^{\Sigma \circ \varphi_0} & \downarrow \Gamma \psi_0 & \searrow^{\Sigma \circ \Gamma \beta_0} & \downarrow \text{id} & \searrow^{\Sigma \circ \varphi_1} & \downarrow \Gamma \psi_1 & \searrow^{\Sigma \circ \Gamma \beta_1} & \dots \\
 \widetilde{\text{End}}(\text{Sp}^\omega; M) & \oplus & \Gamma \text{Calk}(\widetilde{\text{End}}(\text{Sp}^\omega; M)) & \oplus & \widetilde{\text{End}}(\text{Sp}^\omega; \Sigma M) & \oplus & \Gamma \text{Calk}(\widetilde{\text{End}}(\text{Sp}^\omega; \Sigma M)) & \oplus & \dots
 \end{array}$$

In particular, the lemma says that $K(\text{Cone}(F)) \simeq M$. Finally, the choices we made shows that the construction of $\text{Cone}(F)$ refines to a functor $\mathcal{C}_{(-)} : \text{Sp} \rightarrow \text{Cat}_\infty^{\text{perf}}$ such that $K \circ \mathcal{C}_{(-)} \simeq \text{id}$.

Theorem of the Heart

Theorem ([Bar15])

Let E be a stable ∞ -category with a bounded t -structure, then the inclusion $E^\heartsuit \hookrightarrow E$ induces a weak equivalence

$$K(E^\heartsuit) \simeq K(E).$$

Here $K(-)$ is interpreted as the (Waldhausen) K -theory of an $(\infty, 1)$ -category. However, the proof in [Bar15] makes use of the ∞ -exact category structure which gives rise to a duality.

Theorem of the Heart

Theorem ([Bar15])

Let E be a stable ∞ -category with a bounded t -structure, then the inclusion $E^\heartsuit \hookrightarrow E$ induces a weak equivalence

$$K(E^\heartsuit) \simeq K(E).$$

Here $K(-)$ is interpreted as the (Waldhausen) K -theory of an $(\infty, 1)$ -category. However, the proof in [Bar15] makes use of the ∞ -exact category structure which gives rise to a duality. Regardless, this implies an equivalence $K^{\text{cn}}(E^\heartsuit) \simeq K^{\text{cn}}(E)$ in terms of connective K -theory. ([AGH19])

Theorem of the Heart

Theorem ([Bar15])

Let E be a stable ∞ -category with a bounded t -structure, then the inclusion $E^\heartsuit \hookrightarrow E$ induces a weak equivalence

$$K(E^\heartsuit) \simeq K(E).$$

Here $K(-)$ is interpreted as the (Waldhausen) K -theory of an $(\infty, 1)$ -category. However, the proof in [Bar15] makes use of the ∞ -exact category structure which gives rise to a duality. Regardless, this implies an equivalence $K^{\text{cn}}(E^\heartsuit) \simeq K^{\text{cn}}(E)$ in terms of connective K -theory. ([AGH19])

This is an analogue of Neeman's Theorem of the Heart for the algebraic K -theory of Δ -categories, which expresses an equivalence between the algebraic K -theory of a Δ -category \mathcal{T} equipped with a bounded t -structure and the Quillen K -theory of its heart \mathcal{T}^\heartsuit . ([Nee98])

General Conjectures

The following conjectures were recorded in [AGH19].

Conjecture (A)

If \mathcal{A} is a small abelian category, then $K_{-n}(\mathcal{A}) = 0$ for $n \geq 1$.

Conjecture (B)

If E is a small stable ∞ -category with a bounded t -structure, then $K_{-n}(E) = 0$ for $n \geq 1$.

Conjecture (C)

If E is a small stable ∞ -category with a bounded t -structure, then the natural map $K(E^{\heartsuit}) \rightarrow K(E)$ is an equivalence of non-connective K -theory spectra.

Remark

Conjecture (B) holds if and only if Conjecture (A) and Conjecture (C) hold.

Conjecture (C): Non-connective Theorem of the Heart

Theorem ([AGH19])

Let E be a small stable ∞ -category with a bounded t -structure such that E^{\heartsuit} is Noetherian, then the natural map

$$K(E^{\heartsuit}) \xrightarrow{\cong} K(E)$$

of non-connective K -theory spectra is an equivalence.

Here the non-connective K -theory of the heart $K(E^{\heartsuit}) := K(\mathcal{D}^b(E^{\heartsuit}))$ is defined as that of the bounded derived category, which is a small idempotent-complete stable ∞ -category.

Conjecture (C): Non-connective Theorem of the Heart

Theorem ([AGH19])

Let E be a small stable ∞ -category with a bounded t -structure such that E^{\heartsuit} is Noetherian, then the natural map

$$K(E^{\heartsuit}) \xrightarrow{\cong} K(E)$$

of non-connective K -theory spectra is an equivalence.

Here the non-connective K -theory of the heart $K(E^{\heartsuit}) := K(\mathcal{D}^b(E^{\heartsuit}))$ is defined as that of the bounded derived category, which is a small idempotent-complete stable ∞ -category.

Theorem ([RSW24])

Conjecture (C) is false if we drop the Noetherian assumption of the heart.

Strategy

- Pick a spectrum M that is not $K(\mathbb{Z})$ -local, e.g., the Morava K -theory spectrum $K(n)$ for $n \geq 2$. ([Mit90])
- By Theorem (A), pick $\mathcal{C} = \mathcal{C}_M$. This is a category “whose K -theory has sufficiently non-trivial chromatic behavior.”
- Let $\hat{\mathcal{C}} = \text{Fun}_\times(\mathcal{C}^{\text{op}}, \text{Sp})$ be the ∞ -category of additive presheaves on \mathcal{C} , and let $\mathcal{C}^{\text{fin}} \subseteq \hat{\mathcal{C}}$ be the smallest idempotent complete stable subcategory containing the image of $\mathcal{L} : \mathcal{C} \hookrightarrow \hat{\mathcal{C}}$.

Strategy

- The functor $\mathcal{Y} : \mathcal{C} \rightarrow \mathcal{C}^{\text{fin}}$ is an initial additive functor into a small stable ∞ -category. ([ES22]) This gives rise to an adjunction

$$\begin{array}{ccc} \text{Cat}_{\infty}^{\text{padd}} & & \\ (-)^{\text{fin}} \downarrow & \uparrow U & \\ \text{Cat}_{\infty}^{\text{perf}} & & \end{array}$$

with counit $L : \mathcal{C}^{\text{fin}} \rightarrow \mathcal{C}$ for our choice of $\mathcal{C} \in \text{Cat}_{\infty}^{\text{perf}}$.

- It turns out that L is also a Verdier localization map, so it gives rise to an exact sequence

$$\text{Ac}(\mathcal{C}) \longrightarrow \mathcal{C}^{\text{fin}} \longrightarrow \mathcal{C}$$

in $\text{Cat}_{\infty}^{\text{perf}}$.

Strategy

- By [Kle20], the kernel $\mathrm{Ac}(\mathcal{C})$ is a stable ∞ -category generated by cofibers of the natural maps $\mathcal{Y}(b)/\mathcal{Y}(a) \rightarrow \mathcal{Y}(b/a)$ for morphisms $a \rightarrow b$ in \mathcal{C} . It is observed that $\mathrm{Ac}(\mathcal{C})$ admits a natural bounded t -structure, c.f., Theorem 5.1, or [Nee21].

Strategy

- By [Kle20], the kernel $\mathrm{Ac}(\mathcal{C})$ is a stable ∞ -category generated by cofibers of the natural maps $\mathcal{Y}(b)/\mathcal{Y}(a) \rightarrow \mathcal{Y}(b/a)$ for morphisms $a \rightarrow b$ in \mathcal{C} . It is observed that $\mathrm{Ac}(\mathcal{C})$ admits a natural bounded t -structure, c.f., Theorem 5.1, or [Nee21].
- We need to understand the chromatic behavior via the induced (co)fiber sequence

$$K(\mathrm{Ac}(\mathcal{C})) \longrightarrow K(\mathcal{C}^{\mathrm{fin}}) \longrightarrow K(\mathcal{C}) \simeq M$$

Strategy

- By [Kle20], the kernel $\text{Ac}(\mathcal{C})$ is a stable ∞ -category generated by cofibers of the natural maps $\mathfrak{K}(b)/\mathfrak{K}(a) \rightarrow \mathfrak{K}(b/a)$ for morphisms $a \rightarrow b$ in \mathcal{C} . It is observed that $\text{Ac}(\mathcal{C})$ admits a natural bounded t -structure, c.f., Theorem 5.1, or [Nee21].
- We need to understand the chromatic behavior via the induced (co)fiber sequence

$$K(\text{Ac}(\mathcal{C})) \longrightarrow K(\mathcal{C}^{\text{fin}}) \longrightarrow K(\mathcal{C}) \simeq M$$

- We know $M \simeq K(\mathcal{C})$ is not $K(\mathbb{Z})$ -local by construction, but $K(\mathcal{C}^{\text{fin}})$ is $K(\mathbb{Z})$ -local. (Theorem 4.17) Hence, $K(\text{Ac}(\mathcal{C}))$ should not be $K(\mathbb{Z})$ -local, i.e., it has similar chromatic behavior as $K(\mathcal{C})$.

Strategy

- By [Kle20], the kernel $\text{Ac}(\mathcal{C})$ is a stable ∞ -category generated by cofibers of the natural maps $\mathfrak{K}(b)/\mathfrak{K}(a) \rightarrow \mathfrak{K}(b/a)$ for morphisms $a \rightarrow b$ in \mathcal{C} . It is observed that $\text{Ac}(\mathcal{C})$ admits a natural bounded t -structure, c.f., Theorem 5.1, or [Nee21].
- We need to understand the chromatic behavior via the induced (co)fiber sequence

$$K(\text{Ac}(\mathcal{C})) \longrightarrow K(\mathcal{C}^{\text{fin}}) \longrightarrow K(\mathcal{C}) \simeq M$$

- We know $M \simeq K(\mathcal{C})$ is not $K(\mathbb{Z})$ -local by construction, but $K(\mathcal{C}^{\text{fin}})$ is $K(\mathbb{Z})$ -local. (Theorem 4.17) Hence, $K(\text{Ac}(\mathcal{C}))$ should not be $K(\mathbb{Z})$ -local, i.e., it has similar chromatic behavior as $K(\mathcal{C})$.
- This is a contradiction: if Conjecture (C) holds, then $K(\text{Ac}(\mathcal{C}))$ is $K(\mathbb{Z})$ -local as $\mathcal{D}^b(\text{Ac}(\mathcal{C})) \simeq \mathcal{D}^b(\text{Ac}(\mathcal{C})^{\heartsuit})$ is \mathbb{Z} -linear, i.e., with simple chromatic behavior. (Proposition 4.15, Corollary 4.16)

Bibliography I



Benjamin Antieau, David Gepner, and Jeremiah Heller.
K-theoretic obstructions to bounded t-structures.
Inventiones mathematicae, 216(1):241–300, 2019.



Benjamin Antieau.
Arxiv reviews 8: no nonconnective theorem of the heart.
<https://antieau.github.io/2024/01/18/xr008-rsw.html>.
Accessed: 2024-10-13.



Clark Barwick.
On exact ∞ -categories and the theorem of the heart.
Compositio Mathematica, 151(11):2160–2186, 2015.

Bibliography II



Clark Barwick, Saul Glasman, Marc Hoyois, Denis Nardin, and Jay Shah.

Categorifying rationalization.

In Forum of Mathematics, Sigma, volume 7, page e42. Cambridge University Press, 2019.



Andrew J Blumberg, David Gepner, and Gonçalo Tabuada.

A universal characterization of higher algebraic k-theory.

Geometry & Topology, 17(2):733–838, 2013.



Baptiste Calmès, Emanuele Dotto, Yonatan Harpaz, Fabian Hebestreit, Markus Land, Kristian Moi, Denis Nardin, Thomas Nikolaus, and Wolfgang Steimle.

Hermitian k-theory for stable ∞ -categories ii: Cobordism categories and additivity.

arXiv preprint arXiv:2009.07224, 2020.

Bibliography III



Bjørn Ian Dundas and Randy McCarthy.
Stable k -theory and topological hochschild homology.
Annals of Mathematics, 140(3):685–701, 1994.



Bjørn Ian Dundas.
Applications of topological cyclic homology to algebraic k -theory.
Cyclic Cohomology at 40: Achievements and Future Prospects, 105:135,
2023.



Elden Elmanto and Vladimir Sosnilo.
On nilpotent extensions of ∞ -categories and the cyclotomic trace.
International Mathematics Research Notices, 2022(21):16569–16633,
2022.

Bibliography IV



Jona Klemenc.

The stable hull of an exact ∞ -category.
arXiv preprint arXiv:2010.04957, 2020.



Stephen A Mitchell.

The moravak-theory of algebraick-theory spectra.
K-theory, 3(6):607–626, 1990.



Amnon Neeman.

K-theory for triangulated categories iii (a): The theorem of the heart.
Asian J. Math, 2(3):495–589, 1998.



Amnon Neeman.

A counterexample to vanishing conjectures for negative k-theory.
Inventiones mathematicae, 225(2):427–452, 2021.

Bibliography V



Maxime Ramzi, Vladimir Sosnilo, and Christoph Winges.
Every spectrum is the k -theory of a stable ∞ -category.
arXiv preprint arXiv:2401.06510, 2024.



Victor Saunier.
The fundamental theorem of localizing invariants.
Annals of K-Theory, 8(4):609–643, 2023.



Vladimir Sosnilo.
Theorem of the heart in negative k -theory for weight structures.
Doc. Math, 24(2137-2158):7, 2019.



Friedhelm Waldhausen.
Algebraic k -theory of topological spaces. ii.
In *Algebraic Topology Aarhus 1978: Proceedings of a Symposium held at Aarhus, Denmark, August 7–12, 1978*, pages 356–394. Springer, 2006.